

United States Senate

# Financial Disclosures

## Candidate Report

**The Honorable Rick Scott**

State of Candidacy: FL | Candidacy Commenced: 03/26/2018

Filed 07/27/2018 @ 4:16 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of Florida Tallahassee, Florida	\$0.18

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Personal Residence - Naples</b> <i>Description:</i> Florida Parcel: 09380240002 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	None	None (or less than \$201)
2	<b>Boathouse - Naples</b> <i>Description:</i> Florida Parcel: 13420001922 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
3	<b>Personal Residence - Montana</b> <i>Description:</i> Geo Code: 4498-34-2-01-05-0000 (Troy, MT) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
4	<b>Refundable Club Deposit 1</b> <i>Company:</i> Royal Poinciana (Naples, FL)  <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$1,001 - \$15,000	None	None (or less than \$201)
5	<b>Refundable Club Deposit 2</b> <i>Company:</i> Red Sky (Wolcott, CO)  <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None	None (or less than \$201)
6	<b>Richard L. Scott Blind Trust 2014 (Qualified under the laws of the State of Florida)</b>	Trust General Trust	Self			
6.1	<b>Alaska ST International Airports Revenue Bond</b> <i>Rate/Coupon:</i> 0.73% - Variable <i>Matures:</i> 10/01/2030	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
6.2	<b>BNY Mellon</b> (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.3	<b>Morgan Stanley</b> (Los Angeles, CA) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$250,001 - \$500,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.4	<b>Morgan Stanley</b> (Los Angeles, CA) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.5	<b>San Antonio Texas Electric &amp; Gas Revenue Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.6	<b>Lower Colorado River Auth Texas Rev Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
6.7	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/15/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
6.8	<b>California St Variable Purpose G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.9	<b>Metropolitan Washington DC Airports Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.10	<b>Massachusetts Variable Monthly Consolidated Loan Bond</b> <i>Rate/Coupon: 3.284% Floating</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.11	<b>Chicago Illinois O'Hare Intl Airport Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.12	<b>Texas A&amp;M University Perm Univ Fd Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.13	<b>Milwaukee Wisconsin Metro Sewer District Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.14	<b>California St Public Works Revenue - Cal St Univ Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.15	<b>Triborough Bridge &amp; Tunnel Auth New York Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.16	<b>Massachusetts Water Res Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.17	<b>California State G.O. Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.18	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
6.19	<b>York County South Carolina Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2024</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.20	<b>Canadian River Texas Muni Water Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.21	<b>Grand Prairie Texas Independent School District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.22	<b>Massachusetts State Dev Fin Agency Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
6.23	<b>Port of Seattle Washington Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.24	<b>New York State Urban Dev Corp Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.25	<b>Las Vegas Valley Nevada Water District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
6.26	<b>Washington State Motor Vehicle Fuel Tax G.O. Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.27	<b>Lower Alabama Gas Project Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.28	<b>Salt River Proj Agric Power District Ariz Elec System Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.29	<b>North Texas Tollway Authority Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
6.30	<b>Connecticut State Spec Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

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6.31	<b>Chicago Illinois Met Water Reclamation District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2030</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.32	<b>Indiana Municipal Power Agency Power Supply System Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.33	<b>New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond</b> <i>Rate/Coupon: 2.078% - Floating</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.34	<b>Black Belt Energy Gas Dist Ala Gas Supply Revenue Bond</b> <i>Rate/Coupon: 4.0% - Floating</i> <i>Matures: 07/01/2046</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
6.35	<b>California State G.O. Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6.36	<b>Neuberger Berman</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.37	<b><u>ARLP</u> - Alliance Resource Partners, L.P.</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
6.38	<b><u>AM</u> - Antero Midstream Partners LP</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6.39	<b>FUN-Cedar Fair, L.P.</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
6.40	<b><u>DM</u> - Dominion Energy Midstream Partners, LP</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

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6.41	<b><u>ETE</u> - Energy Transfer Equity, L.P.</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
6.42	<b><u>EPD</u> - Enterprise Products Partners L.P.</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.43	<b><u>EQGP</u> - EQT GP Holdings, LP</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6.44	<b><u>EQM</u> - EQT Midstream Partners, LP</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
6.45	<b><u>NEP</u> - NextEra Energy Partners, LP</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.46	<b><u>NYLD</u> - NRG Yield, Inc.</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
6.47	<b><u>OKE</u> - ONEOK, Inc.</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.48	<b><u>SRE</u> - Sempra Energy</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6.49	<b><u>TRGP</u> - Targa Resources Corp.</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
6.50	<b><u>WGP</u> - Western Gas Equity Partners, LP</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
6.51	<b><u>WMB</u> - The Williams Companies, Inc.</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6.52	<b><u>WTT</u> - Wireless Telecom Group, Inc.</b>	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)

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6.53	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.54	<b>Promissory Note</b> <i>Company: Pharmaca Investments, LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	None	None (or less than \$201)
6.55	<b>AG Energy Partners II, L.P.</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.56	<b>AG Super Fund, L.P.</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$50,001 - \$100,000
6.57	<b>AJ I Gainline LLC</b> <i>Description: Private Investment Partnership (New York, New York)</i> 🔒 <i>Private comment for Ethics: Alternative disclosure requested. "No assets" selected to show valuation and income at asset level.</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
6.58	<b>AJ 2 Elliott LLC</b> <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Private Equity Fund	Self			
6.58.1	<b>Ancora Catalyst SPV I L.P.</b> <i>Description: Private Investment Partnership (Cleveland, OH)</i> 🔒 <i>Private comment for Ethics: Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.</i>	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends	\$100,001 - \$1,000,000
6.59	<b>CAI Partners and Company IV L.P.</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund	\$50,001 - \$100,000
6.60	<b>Canyon Laurel Fund L.P.</b> <i>Description: Private Investment Partnership (Los Angeles, CA)</i>	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
6.61	<b>Fortress Secured Lending Fund I (A) LP</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
6.62	<b>G. Scott Capital Partners</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest	\$1,000,001 - \$5,000,000
6.63	<b>OVO Fund II, LLC</b> <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
6.64	<b>Pharmaca Investments, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)
6.65	<b>RLSI-CSP Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains	Over \$5,000,000
6.66	<b>RLSI-EMIDA Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.67	<b>S&amp;S Debt Partners I, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
6.68	<b>S&amp;S Equity Partners I LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends, Interest	\$100,001 - \$1,000,000
6.69	<b>S&amp;S Sports, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
6.70	<b>Vestar Executive V, LP / Vestar Executives IV, LP / Vestar - AIV Holdings B, LP</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund	\$201 - \$1,000
6.71	<b>VSS Structured Capital III, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
6.72	<b>Columbia Collier Management, LLC</b> <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
6.73	<b>Account Receivable from Seabass Properties LLC</b> <i>Company:</i> Seabass Properties LLC (Austin, TX)	Accounts Receivable From a Business	Self	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000

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6.74	<b>Account Receivable from AJ I Gainline LLC</b> <i>Company: AJ I Gainline LLC (New York, New York)</i>	Accounts Receivable From a Business	Self	None (or less than \$1,001)	None	None (or less than \$201)
6.75	<b>California Pollution Control Authority Bond</b> <i>Rate/Coupon: 0.84% - Variable</i> <i>Matures: 11/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.76	<b>Clackamas County Oregon School District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.77	<b>Colorado State Educational Loan Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.78	<b>Dallas Texas Performing Arts Muni Bond</b> <i>Rate/Coupon: 0.43% - Variable</i> <i>Matures: 09/01/2041</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.79	<b>Derry Township Pennsylvania Indl &amp; Commercial Dev Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: 11/01/2030</i>  <i>Filer comment: Combines multiple bonds with rates from 1.1%-1.4% (variable); no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

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6.80	<b>Douglas County Nebraska Hospital Bond</b> <i>Rate/Coupon: 0.08% - Variable</i> <i>Matures: 08/15/2032</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.81	<b>Dutchess County New York Indl Dev Agency Revenue Bond</b> <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.82	<b>Forsyth Montana Pollution Control Revenue Bond</b> <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.83	<b>Hamilton County Ohio Sewer System Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.84	<b>Houston Texas Utility Sys Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds each at 5% interest; maturity dates from 11/15/2018-11/15/2033; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

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6.85	<b>Illinois State Financial Auth Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with maturity dates from 10/01/2030-08/01/2044; variable interest rates from 0.75%-1.12%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.86	<b>Illinois State Financial Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.87	<b>Indiana ST Fin Auth Bond</b> <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.88	<b>Iowa Higher Ed LN Auth Revenue Bond</b> <i>Rate/Coupon: 0.33% - Variable</i> <i>Matures: 10/01/2024</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.89	<b>Iowa Fin Auth Health Muni Bond</b> <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.90	<b>Irvine California Impt Bd Act 1915 Loc Muni Bond</b> <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.91	<b>Kansas St Dev Fin Auth Muni Bond</b> <i>Rate/Coupon: 0.05% - Variable</i> <i>Matures: 03/01/2041</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.92	<b>King County Washington Hsg Auth Var-Ref-Pooled Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.93	<b>Manteca California Rede Bond</b> <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.94	<b>Maryland State Hlth &amp; Higher Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.95	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.96	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.97	<b>Massachusetts State Health Muni Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.98	<b>Massachusetts State Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 1.07%</i> <i>Matures: 12/01/2037</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
6.99	<b>Milford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.100	<b>Milwaukee Wisconsin Area Technical College Promissory Notes Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/01/2017</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.101	<b>Mississippi State Business Finance Corp Gulf Opportunity Zone Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: Combines multiple bonds with maturity dates from 12/01/2030-11/10/2035; all at variable interest from 0.07%-0.24%. None are currently held.</i>					
6.102	<b>Missouri State Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: Combines multiple bonds with rates from 0.97% (variable) to 5.375% (fixed) with maturity dates of 11/01/2031-03/15/2039; no longer held.</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.103	<b>Missouri State Development Finance Muni Bond</b> <i>Rate/Coupon: 0.16% - Variable</i> <i>Matures: 12/01/2020</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.104	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with maturity dates from 04/01/2032-02/01/2036; variable interest rates from 1.1%-1.75%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.105	<b>Nantucket Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.106	<b>Needham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.107	<b>New York City NY Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with maturity dates from 08/01/2031-04/01/2042; all at variable interest from 0.51%-0.87%. None are currently held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
6.108	<b>New York City NY Hsg Dev Corp Multifamily Rent Revenue Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 03/15/2036</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.109	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: Combines multiple bonds with maturity dates from 11/01/2036-02/01/2045; all at variable interest at 1.12%. None are currently held.</i>					
6.110	<b>New York City NY Muni Water Fin Auth Wtr &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 0.3% - Variable</i> <i>Matures: 06/15/2048</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
6.111	<b>New York State Dorm Auth Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
	<i>Filer comment: Combines multiple bonds with maturity dates from 03/15/2020-07/01/2031; all at variable interest (0.74%) or fixed interest (5.0%). None are currently held.</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.112	<b>New York State Hsg Fin Agy Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with maturity dates from 05/01/2042-11/01/2046; all at variable interest from 0.04%-2.792%. None are currently held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.113	<b>New York NY Municipal Bond Bank Agy Apl Sch Purp Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.114	<b>Nodaway County Missouri Indl Dev Auth Revenue Bond</b> <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 11/01/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.115	<b>North Carolina Med CA Muni Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 08/15/2034</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.116	<b>Pennsylvania State High Educational Facility Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.117	<b>Snohomish County Washington School District Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.118	<b>Pennsylvania State Public School Building Muni Bond</b> <i>Rate/Coupon: 0.65% - Variable</i> <i>Matures: 08/01/2030</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.119	<b>Topeka Kansas - Temp Nts-Gen Impts-Ser A</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2017</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.120	<b>Valdez Alaska Marine Terminal Refinancing Bond</b> <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
6.121	<b>Washington State Hsg Fin Comm Nonprofit Revenue Bond</b> <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
6.122	<b>West Windsor-Plainsboro New Jersey Regional Sch Dist Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
6.123	<b>Beacon NY BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.124	<b>Charleston County South Carolina School District Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
6.125	<b>Framingham Massachusetts Muni Bond</b> <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.126	<b>Fulton County Georgia General Fund - Tax Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.127	<b>Hingham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.128	<b>Los Angeles California G.O. Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.129	<b>Salt Lake County Utah Tax &amp; Rev Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.130	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.131	<b>Arizona ST Health Facilities Auth Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: Combines multiple bonds with a rate of 1.1%-5.375%; maturity dates ranging from 01/01/2032-01/01/2046; no longer held.</i>					
6.132	<b>El Dorado California Irrigation District Revenue Bond</b> <i>Rate/Coupon: 4.25%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.133	<b>Ohio ST G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.134	<b>Delaware Valley PA Regional Financial Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: 06/01/2042</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: Combines multiple bonds with variable interest from 1.09%-1.75%; no longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.135	<b>Richland County South Carolina School Dist #1 Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.136	<b>Clarksville Tennessee Public Building Authority Municipal Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: Combines multiple bonds between 1.1%-1.75% variable; same maturity dates; no longer held</i>					
6.137	<b>Gallatin Tennessee Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.138	<b>Houston Texas Independent School District Ltd Tax-Schoolhouse Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.139	<b>Wisconsin ST Environmental Impact Fund Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					
6.140	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.141	<b>Ramsey New Jersey School Dist Ref Bond</b> <i>Rate/Coupon: 3%</i> <i>Matures: 01/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.142	<b>Collin County Texas G.O. Bond</b> <i>Rate/Coupon: 5%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.143	<b>El Paso Texas Independent School District Utility Tax Bond</b> <i>Rate/Coupon: 4.125%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.144	<b>Richardson Texas Independent School District Building Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					
6.145	<b>Lexington Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.146	<b>Beaufort County South Carolina School District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.147	<b>Lexington County South Carolina School District No. 1 Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.148	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: Combines multiple bonds with maturity dates between 03/01/2018-04/01/2018; no longer held.</i>					
6.149	<b>Seattle Washington Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.150	<b>Union County New Jersey Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.151	<b>California St G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					
6.152	<b>San Antonio Texas Electric &amp; Gas Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: 02/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: Combines bonds with rates of 4-5%; no longer held.</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
6.153	<b>Park City Utah Sales Tax Revenue</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.154	<b>Alpine Utah School District Building Bond</b> <i>Rate/Coupon: 4.25%</i> <i>Matures: 03/15/2019</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.155	<b>Cleveland Ohio Waterworks Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.156	<b>Texas State Transportation - Commn Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2022</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.157	<b>Grand Rapids Michigan Sanitn Sewer System Bond</b> <i>Rate/Coupon: 4.8%</i> <i>Matures: 01/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.158	<b>Metropolitan Government of Nashville &amp; Davidson Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.159	<b>Pennsylvania State Univ Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/15/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
6.160	<b>Ohio State Higher Educational Facilities Commission Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2033</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
6.161	<b>Louisville &amp; Jefferson County Kentucky Met Govt Health Facilities Revenue Bond</b> <i>Rate/Coupon: 6.125%</i> <i>Matures: 02/01/2037</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.162	<b>Massachusetts State Water Pollution Abatement Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 02/01/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.163	<b>Clark-Shawnee Ohio Local Sch District BANS Bond</b> <i>Rate/Coupon: 1.75%</i> <i>Matures: 02/13/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.164	<b>Ithaca City New York Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.165	<b>Minnesota Public Facilities Auth Clean Water Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					
6.166	<b>S C Association of Govt Organization Cops Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.167	<b>Windsor Locks Connecticut BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/22/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.168	<b>New York St Local Govt Assistance Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.169	<b>New York Sr Tollway Auth Svc Contract Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.170	<b>Albany County NY BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.171	<b>Somerville Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.172	<b>Williamsville New York Central School District BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.173	<b>Georgetown County South Carolina School District Muni Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/21/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.174	<b>Rochester NY BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.175	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.176	<b>Union Township Ohio BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.177	<b>City of Quincy Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
6.178	<b>Spartanburg County School District No. 7T BANS Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.179	<b>District of Columbia Hospital Revenue Bond - Childrens Hosp</b> <i>Rate/Coupon: See note</i> <i>Matures: 08/15/2038</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: Combines multiple bonds with rates from 0.96%-1.73% (variable); no longer held</i>					
6.180	<b>E Baton Rouge Parish Louisiana Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: Combines multiple bonds with maturity dates from 08/01/2035-12/01/2040; variable interest rates from 0.98%-1.11%; no longer held</i>					
6.181	<b>Lancaster County PA Ho Muni Bond</b> <i>Rate/Coupon: 1.13% - Variable</i> <i>Matures: 07/01/2034</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
6.182	<b>Briarwood Capital Partners LP</b> <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.183	<b>Canyon Balanced Fund, L.P.</b> <i>Description:</i> Private Investment Partnership (Los Angeles, California)	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.184	<b>Oregon St Health &amp; Science Muni Bond</b> <i>Rate/Coupon:</i> 1.1% - Variable <i>Matures:</i> 07/01/2042  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.185	<b>University of Michigan Univ Bond</b> <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 04/01/2018  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.186	<b>Elliott Associates, L.P.</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund	\$50,001 - \$100,000
6.187	<b>Engine Capital, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)  <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
6.188	<b>Highline Capital Partners QP, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
6.189	<b>Mendon Capital QP LP</b> <i>Description:</i> Private Investment Partnership (Chicago, Illinois)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.190	<b>Moab Partners, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
6.191	<b>Nokomis Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.192	<b>Overlook 3G Investments, L.P.</b> <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
6.193	<b>Overlook Partners Fund, L.P.</b> <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.194	<b>ASYS - Amtech Systems, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
6.195	<b>CB - Chubb Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
6.196	<b>CABO-Cable One, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6.197	<b>GS - The Goldman Sachs Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
6.198	<b>MMC - Marsh &amp; McLennan Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
6.199	<b>NVGS - Navigator Holdings Ltd.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6.200	<b>GOOGL - Alphabet Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
6.201	<b>AAPL - Apple Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
6.202	<b>GILD - Gilead Sciences, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.203	<b>MSFT - Microsoft Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
6.204	<b>PFE - Pfizer Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
6.205	<b>UTG - Reaves Utility Income Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$5,001 - \$15,000
6.206	<b>FUN - Cedar Fair, L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
6.207	<b>ABT - Abbott Laboratories</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
6.208	<b>BAC - Bank of America Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
6.209	<b>C - Citigroup Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
6.210	<b>CCI - Crown Castle International Corp. (REIT)</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
6.211	<b>GSPKX - Goldman Sachs US Eq Div and Prem Instl</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$15,001 - \$50,000
6.212	<b>HASI - Hannon Armstrong Sustainable Infrastructure Capita</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500



#	Asset	Asset Type	Owner	Value	Income Type	Income
6.213	<b>DVY-iShares Select Dividend ETF</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000
6.214	<b>EWJ-iShares MSCI Japan ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
6.215	<b>JNJ - Johnson &amp; Johnson</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
6.216	<b>KEY - KeyCorp</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
6.217	<b>LMT - Lockheed Martin Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
6.218	<b>LLDYX - Lord Abbett Short Duration Income I</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
6.219	<b>PNC - The PNC Financial Services Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
6.220	<b>RTN - Raytheon Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
6.221	<b>PPA - Invesco Aerospace &amp; Defense ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$15,001 - \$50,000
6.222	<b>KBWB - Invesco KBW Bank ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.223	<b>BX - The Blackstone Group L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
6.224	<b>ETP - Energy Transfer Partners, L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
6.225	<b>EPD - Enterprise Products Partners L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
6.226	<b>OAK-Oaktree Capital Group, LLC</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
6.227	<b>WGP - Western Gas Equity Partners, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
6.228	<b>COMM - CommScope Holding Company, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6.229	<b>AMAT - Applied Materials, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6.230	<b>Mitsubishi UFJ Financial Group Inc. - MTU</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
6.231	<b>GLD - SPDR Gold Shares</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.232	<b>Taiwan Semiconductor Mfg Co. - TSM</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.233	<b>ENB - Enbridge Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6.234	<b>SEP - Spectra Energy Partners, LP</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
6.235	<b>Rimrock High Income Plus (QP) Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.236	<b>GQETX - GMO Quality III</b> <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund	\$100,001 - \$1,000,000
6.237	<b>Account Receivable from S&amp;S Family Entertainment, LLC</b> <i>Company:</i> S&S Family Entertainment, LLC (Rowayton, CT) <i>Filer comment:</i> No longer held	Accounts Receivable From a Business	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6.238	<b>JMB Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund	\$50,001 - \$100,000
6.239	<b>Oscar S. Shafer &amp; Partners II LP</b> <i>Description:</i> Private Investment Vehicle (New York, NY) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
6.240	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$25,000,001 - \$50,000,000	Interest	\$50,001 - \$100,000
6.241	<b>Dreyfus - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.242	<b>Fulton Chain Acquisition LLC</b> <i>Description:</i> Private Investment Partnership (Rowayton, CT) <b>🔒 Private comment for Ethics:</b> Alternative Disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
7	<b>F. Annette Scott 2015 GRAT Trust</b>	Trust General Trust	Spouse			
7.1	<b>Alaska ST International Airports Revenue Bond</b> <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 10/01/2030	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.2	<b>Athens-Clarke County Georgia Unif University Georgia Athletic Revenue Bond</b> <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 07/01/2035	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.3	<b>Delaware Valley PA Regional Financial Revenue Bond</b> <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 06/01/2042	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.4	<b>Fairfax County VA Econ Dev Auth Revenue Bond</b> <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 12/01/2033	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.5	<b>Illinois State Financial Auth Revenue Bond - University of Chicago Med</b> <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 08/01/2043	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.6	<b>Illinois ST Health Facs Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.7	<b>Indiana ST Fin Auth Environmental Bond</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 12/01/2039</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.8	<b>Jackson County Mississippi Pollution Control Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.9	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.10	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.11	<b>New York City NY Hsg Dev Corp Revenue Bond</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 03/15/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.12	<b>New York ST Hsg Fin Agy Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 05/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.13	<b>Triborough NY Bridge &amp; Tunnel Auth Revenue Bond</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 11/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.14	<b>Triborough NY Bridge &amp; Tunnel Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.15	<b>Wisconsin ST Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
7.16	<b>BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.17	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.18	<b>Guilford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.19	<b>Central Islip NY Union Free School District BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/16/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.20	<b>Kent Ohio BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/23/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.21	<b>East Hampton Town New York BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/24/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.22	<b>County of Loudoun Virginia Public Impact Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.23	<b>Framingham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/07/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.24	<b>Lexington Massachusetts BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.25	<b>Cleveland-Cuyahoga County Ohio - Cleveland Museum Art Project Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.26	<b>Massachusetts Bay MA Transportation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
7.27	<b>Campbell CA Union High School District Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
7.28	<b>University of Utah Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.29	<b>Rochester New York G.O. Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.30	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
7.31	<b>Texas State Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.32	<b>Union Township Ohio Tax &amp; Rev Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.33	<b>Essex County New Jersey BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.34	<b>Arizona State Ctfs Partn Cops Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
7.35	<b>Arizona State Cops - Department of Administration</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.36	<b>Maryland ST - State and Local Facilities LN Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.37	<b>Milford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.38	<b>Brookfield Connecticut BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.39	<b>Michigan State Trunk Line Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.40	<b>Spartanburg County School District No. 7T BANS Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.41	<b>Akron Ohio Income Tax Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.42	<b>New York ST Dorm Auth Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.43	<b>City of Worcester Massachusetts BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 01/31/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.44	<b>Virginia ST Clg Bldg Auth - 21st Century Clg &amp; Equip Program Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.45	<b>North Middlesex Massachusetts Regional School District BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 02/05/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
7.46	<b>Richland County South Carolina BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.47	<b>Baltimore County Maryland BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.48	<b>New Bedford Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.75%</i> <i>Matures: 05/03/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.49	<b>County of Middlesex New Jersey</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.50	<b>Williamsville New York Central School District BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.51	<b>Kenmore-Tonawanda Union Free School District BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/13/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.52	<b>Mesa Arizona G.O. Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.53	<b>Washington State Variable Purpose Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.54	<b>Harris County Texas Revenue Bond</b> <i>Rate/Coupon: 5.75%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.55	<b>Western Boone In Multi-Sch Bldg Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/10/2021</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.56	<b>Long Island Power Authority NY Elec Sys G.O. Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.57	<b>University of Houston Texas University Revenue Bond</b> <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
7.58	<b>Crowley Texas Independent School District Bldg Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
7.59	<b>Montana ST Board of Investment</b> <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
7.60	<b>Chippewa Falls Area Un Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 1/10/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	None	None (or less than \$201)
7.61	<b><u>WTT</u> - Wireless Telecom Group, Inc.</b>	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
7.62	<b>BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.63	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
7.64	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
7.65	<b>AMAT-Applied Materials, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
7.66	<b>AAPL-Apple Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.67	<b>ABT-Abbott Laboratories</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$50,001 - \$100,000
7.68	<b>BAC-Bank of America Corporation</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.69	<b>C-Citigroup Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains	\$15,001 - \$50,000
7.70	<b><u>CAT</u> - Caterpillar Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.71	<b>CCI-Crown Castle International Corp. (REIT)</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$15,001 - \$50,000
7.72	<b><u>CDEV</u> - Centennial Resource Development, Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.73	<b>COMM-CommScope Holding Company, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.74	<b><u>CVX</u> - Chevron Corporation</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.75	<b>EGN-Energen Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
7.76	<b>DVY-iShares Select Dividend ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	\$15,001 - \$50,000
7.77	<b><u>EMR</u> - Emerson Electric Co.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.78	<b><u>EOG</u> - EOG Resources, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.79	<b>EPD-Enterprise Products Partners L.P.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains	\$50,001 - \$100,000
7.80	<b>FUN-Cedar Fair, L.P.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.81	<b><u>GDX</u> - VanEck Vectors Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.82	<b>GILD-Gilead Sciences, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.83	<b><u>GLD</u> - SPDR Gold Shares</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
7.84	<b>GOOGL-Alphabet Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Capital Gains	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.85	<b>GSPKX-Goldman Sachs US Eq Div and Prem Instl</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	\$15,001 - \$50,000
7.86	<b>LLDYX-Lord Abbett Short Duration Income I</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	\$15,001 - \$50,000
7.87	<b>HASI-Hannon Armstrong Sustainable Infrastructure Capita</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
7.88	<b>JNJ-Johnson &amp; Johnson</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.89	<b>KBWB-Invesco KBW Bank ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Capital Gains, Excepted Investment Fund	\$100,001 - \$1,000,000
7.90	<b>KEM-KEMET Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
7.91	<b>KEY-KeyCorp</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
7.92	<b>LMT-Lockheed Martin Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
7.93	<b><u>MMP</u> - Magellan Midstream Partners, L.P.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	None (or less than \$201)
7.94	<b>MSFT-Microsoft Corporation</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.95	<b>PNC-The PNC Financial Services Group, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains	\$50,001 - \$100,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
7.96	<b>PPA-Invesco Aerospace &amp; Defense ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Capital Gains, Excepted Investment Fund	\$50,001 - \$100,000
7.97	<b>RTN-Raytheon Company</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
7.98	<b>SLV - iShares Silver Trust</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
7.99	<b>VICI-VICI Properties Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
7.100	<b>Taiwan Semiconductor Mfg Co. - TSM</b>	American Depository Receipt	Spouse	\$50,001 - \$100,000	Dividends	None (or less than \$201)
7.101	<b>UTG-Reaves Utility Income Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Capital Gains, Excepted Investment Fund	\$15,001 - \$50,000
7.102	<b>WGP-Western Gas Equity Partners, LP</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
7.103	<b>XOM - Exxon Mobil Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.104	<b>ZBH - Zimmer Biomet Holdings, Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.105	<b>EWJ-iShares MSCI Japan ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.106	<b>Mitsubishi UFJ Financial Group Inc.</b> <i>Filer comment:</i> No longer held	American Depository Receipt	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
7.107	<b>WMB - The Williams Companies, Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	None (or less than \$201)
7.108	<b>AG Energy Partners II, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.109	<b>AG Super Fund, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.110	<b>AJ I Gainline LLC</b> <i>Description:</i> Private Investment Partnership (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at asset level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
7.111	<b>AJ 2 Elliott LLC</b> <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
7.111.1	<b>Ancora Catalyst SPV I L.P.</b> <i>Description:</i> Private Investment Partnership (Cleveland, OH) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.112	<b>Canyon Laurel Fund L.P.</b> <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000
7.113	<b>Fortress Secured Lending Fund I (A) LP</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
7.114	<b>OVO Fund II, LLC</b> <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
7.115	<b>RLSI-CSP Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child
7.116	<b>VSS Structured Capital III, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
7.117	<b>New York St Hsg Fin Auth Revenue Bond</b> <i>Rate/Coupon:</i> 2.792% <i>Matures:</i> 05/01/2042  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.118	<b>Valdez Alaska Marine Terminal Refinancing Bond</b> <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.119	<b>Arizona ST Health Facilities Auth Revenue Bond</b> <i>Rate/Coupon: 5.375</i> <i>Matures: 01/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.120	<b>California Pollution Control Authority Bond</b> <i>Rate/Coupon: 0.84% - Variable</i> <i>Matures: 11/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.121	<b>Irvine California Impt Bd Act Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 09/02/2023</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
7.122	<b>Los Angeles California G.O. Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.123	<b>Manteca California Rede Bond</b> <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.124	<b>Colorado State Educational Loan Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.125	<b>Milford Connecticut G.O. Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.126	<b>Fulton County Georgia BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.127	<b>Illinois State Health Facs Auth Revenue Bond</b> <i>Rate/Coupon: 0.56% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.128	<b>Illinois State Financial Authority Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.129	<b>Iowa Financial Auth Health Facs Bond</b> <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.130	<b>Louisville &amp; Jefferson County Kentucky Bond</b> <i>Rate/Coupon: 0.11% - Variable</i> <i>Matures: 07/01/2041</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.131	<b>Illinois State Financial Authority Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 10/01/2030</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.132	<b>Jackson County Mississippi Pollution Control Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
7.133	<b>Maryland ST Health &amp; Hg Bond</b> <i>Rate/Coupon: 0.45%</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
7.134	<b>Maryland ST Health &amp; Hg Bond</b> <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.135	<b>Framingham Massachusetts Muni Bond</b> <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.136	<b>Massachusetts ST Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: Combines multiple bonds with rates from 2.0%-4.0%; maturity dates from 07/01/2017-04/23/2018; no longer held</i>					
7.137	<b>Massachusetts ST Health Muni Bond</b> <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.138	<b>Massachusetts ST Health Muni Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.139	<b>Massachusetts ST Health Muni Bond</b> <i>Rate/Coupon: 0.26% - Variable</i> <i>Matures: 10/01/2049</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.140	<b>Massachusetts ST Water Res Auth Muni Bond</b> <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 08/01/2020</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
7.141	<b>Mississippi Business Fin Corp Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates from 0.75%-1.7% (variable); maturity dates from 12/01/2030-11/01/2035; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.142	<b>Missouri ST Health &amp; Educational Facs Auth Bond</b> <i>Rate/Coupon: 5.375%</i> <i>Matures: 03/15/2039</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.143	<b>Nodaway County Missouri Indl Muni Bond</b> <i>Rate/Coupon: 0.2%</i> <i>Matures: 11/01/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.144	<b>Forsyth Montana Pollution Control Revenue Bond</b> <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.145	<b>New Hampshire ST Business Muni Bond</b> <i>Rate/Coupon: 0.06% - Variable</i> <i>Matures: 10/01/2042</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.146	<b>Nantucket Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.147	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.148	<b>Charleston County South Carolina School District Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held</i>					
7.149	<b>New York NY Variable Muni Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 08/01/2031</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
7.150	<b>New York NY Variable Muni Bond</b> <i>Rate/Coupon: 0.51% - Variable</i> <i>Matures: 04/01/2042</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.151	<b>New York NY Variable Muni Bond</b> <i>Rate/Coupon: 0.87% - Variable</i> <i>Matures: 08/01/2036</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
7.152	<b>New York NY Variable Muni Bond</b> <i>Rate/Coupon: 0.5% - Variable</i> <i>Matures: 10/01/2038</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.153	<b>New York City Hsg Fin Agy Revenue Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 03/15/2036</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.154	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates ranging from 0.87%-1.72% (variable); maturity dates from 08/01/2039-02/01/2045; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
7.155	<b>New York City Muni Bond</b> <i>Rate/Coupon: 3.56%</i> <i>Matures: 05/01/2033</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.156	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: 0.3% - Variable</i> <i>Matures: 02/01/45</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.157	<b>New York City NY Muni Water FinAuth Bond</b> <i>Rate/Coupon: 0.01% - Variable</i> <i>Matures: 06/15/2045</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.158	<b>New York City NY Muni Water FinAuth Bond</b> <i>Rate/Coupon: 0.3% - Variable</i> <i>Matures: 06/15/2048</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.159	<b>New York ST Dorm Auth Bond</b> <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 07/01/2031</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.160	<b>New York St Hsg Fin Auth Revenue Bond</b> <i>Rate/Coupon: 0.03% - Variable</i> <i>Matures: 11/01/2046</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.161	<b>Triborough Bridge &amp; Tunnel Auth New York Revenue Bond</b> <i>Rate/Coupon: 0.34% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
7.162	<b>Ohio ST G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.163	<b>Clackamas County Oregon School District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.164	<b>Delaware Valley Pennsylvania Regional Financial Revenue Bond</b> <i>Rate/Coupon: 0.76% - Variable</i> <i>Matures: 06/01/2042</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.165	<b>Derry Twp Pennsylvania Indl Muni Bond</b> <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.166	<b>Dutchess County New York Muni Bond</b> <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
7.167	<b>East Baton Rouge Parish Muni Bond</b> <i>Rate/Coupon: 0.002% - Variable</i> <i>Matures: 12/01/2040</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
7.168	<b>East Baton Rouge Parish Muni Bond</b> <i>Rate/Coupon: 0.32% - Variable</i> <i>Matures: 12/01/2051</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.169	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 11/15/2045</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
7.170	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 0.47%</i> <i>Matures: 11/01/2035</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
7.171	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.172	<b>Salt Lake County Utah Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.173	<b>Washington State Hsg Fin Auth Bond</b> <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.174	<b>Gallatin Tennessee Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.175	<b>Houston Texas Independent School District BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.176	<b>King County Washington Hsg Auth Var-Ref-Pooled Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.177	<b>Wisconsin State Environmental Impact Fund Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.178	<b>Wisconsin State Health Muni Bond</b> <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
7.179	<b>ASYS - Amtech Systems, Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
	<i>Filer comment: No longer held</i>					
7.180	<b>CB - Chubb Limited</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.181	<b>GS - The Goldman Sachs Group, Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.182	<b>MMC - Marsh &amp; McLennan Companies, Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
7.183	<b>PFE - Pfizer Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
	<i>Filer comment: No longer held</i>					
7.184	<b>CABO - Cable One, Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.185	<b>NVGS - Navigator Holdings Ltd.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
7.186	<b>Briarwood Capital Partners LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.187	<b>Canyon Balanced Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.188	<b>Engine Capital, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	\$100,001 - \$1,000,000
7.189	<b>Highline Capital Partners QP, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000
7.190	<b>Mendon Capital QP LP</b> <i>Description:</i> Private Investment Vehicle (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.191	<b>Moab Partners, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
7.192	<b>Nokomis Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
7.193	<b>Overlook Partners Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.194	<b>Rimrock High Income Plus (QP) Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.195	<b>Iowa Higher Ed LN Auth Revenue Bond</b> <i>Rate/Coupon:</i> 1.7% - Variable <i>Matures:</i> 10/01/2033  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.196	<b>Richardson Texas Independent School District Building Bond</b> <i>Rate/Coupon:</i> 3.0% <i>Matures:</i> 02/15/2018  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.197	<b>Lexington Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.198	<b>Portsmouth New Hampshire Muni Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.199	<b>Hingham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.200	<b>Albany County NY BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.201	<b>Beacon NY BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.202	<b>Bristol CT Bans Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/29/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7.203	<b>Somerville Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
7.204	<b>Williamsville New York Central School District BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.205	<b>Plymouth Massachusetts Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.206	<b>City of Quincy Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.207	<b>Colorado State General Fund Revenue TANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
7.208	<b>Middle Country NY Central School District Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
7.209	<b><u>RILY</u> - B. Riley Financial, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.210	<b><u>KMT</u> - Kennametal Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.211	<b><u>BX</u> - The Blackstone Group L.P.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.212	<b>GQETX - GMO Quality III</b>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
7.213	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest	\$100,001 - \$1,000,000
7.214	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8	<b>Richard L. Scott GRAT Continuing Trust</b>	Trust General Trust	Spouse			
8.1	<b>Clarksville Tennessee Public Building Authority Municipal Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
8.2	<b>Clarksville Tennessee Public Building Authority Municipal Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2038</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8.3	<b>E Baton Rouge Parish Louisiana Dev Brd Inc. Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 08/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.4	<b>Maryland State Hlth &amp; Higher Educational Facilities Revenue Bond - Univ MD Med Sys</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.5	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.6	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.7	<b>New York City NY Muni Water Fin Auth Wtr &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2035</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8.8	<b>New York City NY Muni Water Fin Auth Wtr &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2045</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.9	<b>New York City NY Muni Water Fin Auth Wtr &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/15/2048</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.10	<b>New York ST Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.11	<b>Wisconsin ST Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8.12	<b>BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.13	<b>Guilford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.14	<b>Katy Independent School District Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.15	<b>Rochester Minnesota Health Care Facilities Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2030</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.16	<b>District of Columbia Hospital Revenue Bond - Childrens Hosp</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/15/2045</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.17	<b>Georgia State G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.18	<b>Gilbert Arizona Public Facilities Muni Property Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.19	<b>Massachusetts Bay MA Transportation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
8.20	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.21	<b>Rochester NY BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.22	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.23	<b>Texas State G.O. Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.24	<b>North Texas ST Muni Water Dist Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.25	<b>Essex County New Jersey BANS Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.26	<b>City of Quincy Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.27	<b>Arizona State Ctfs Partn Cops Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.28	<b>Wichita Kansas Temp Nts Bond</b> <i>Rate/Coupon: 1.1%</i> <i>Matures: 10/13/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.29	<b>Pittsfield Massachusetts G.O. Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 10/26/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.30	<b>Milford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.31	<b>Louisville &amp; Jefferson County Kentucky Met Swr Dist Swr &amp; Drain Sys BANS Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.32	<b>Cohasset Massachusetts Mun Purp LN Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
8.33	<b>Houston Texas Utility Sys Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
8.34	<b>Spartanburg County School District No. 7T BANS Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
8.35	<b>Minneapolis Minnesota G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
8.36	<b>Akron Ohio Income Tax Revenue Bond</b> <i>Rate/Coupon: 3</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.37	<b>New York ST Dorm Auth General Purpose Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.38	<b>Oregon Education Districts Short Term Cops Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/31/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.39	<b>Gwinnett County Georgia School District Sales Tax Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.40	<b>Richland County South Carolina BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
8.41	<b>Baltimore County Maryland BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.42	<b>Virginia ST Public Sch Auth Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
8.43	<b>Hamilton Twp New Jersey Mercer County BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/21/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.44	<b>Town of Dover New Jersey BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/31/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.45	<b>County of Middlesex New Jersey Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
8.46	<b>Williamsville New York Central School District BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.47	<b>Marlborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.75%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
8.48	<b>Town of Plymouth Massachusetts BANS Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
8.49	<b>Town of Boxford Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/21/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
8.50	<b>Massachusetts ST Dev Fin Agyre Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.51	<b>Intermountain Pwr Agy Utah Power Sys Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
8.52	<b>Long Island Power Authority NY Elec Sys G.O. Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.53	<b>University of Houston Texas University Revenue Bond</b> <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
8.54	<b>Massachusetts ST Hlth &amp; Education - Massachusetts Institute Technology Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 07/01/2036</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
8.55	<b>Southern Columbia Pennsylvania Area School District Bond</b> <i>Rate/Coupon: 5.15%</i> <i>Matures: 04/01/2040</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
8.56	<b>Montana ST Board of Investment Bond</b> <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8.57	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.58	<b>AG Energy Partners II, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
8.59	<b>AG Super Fund, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000
8.60	<b>AJ I Gainline LLC</b> <i>Description:</i> Private Investment Vehicle (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at asset level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
8.61	<b>AJ 2 Elliott LLC</b> <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
8.61.1	<b>Ancora Catalyst SPV I L.P.</b> <i>Description:</i> Private Investment Partnership (Cleveland, OH) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends	\$100,001 - \$1,000,000
8.62	<b>Canyon Laurel Fund L.P.</b> <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.63	<b>Fortress Secured Lending Fund I (A) LP</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
8.64	<b>Mendon Capital QP, LP</b> <i>Description:</i> Private Investment Partnership (Chicago, IL)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
8.65	<b>OVO Fund II, LLC</b> <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
8.66	<b>Richard L. and F. Annette Scott Family Partnership</b> <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Assets disclosed elsewhere in this report  <i>Private comment for Ethics:</i> "No assets" checked to show income and valuation at entity level	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child
8.67	<b>VSS Structured Capital III, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$50,001 - \$100,000
8.68	<b>Briarwood Capital Partners LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.69	<b>Canyon Balanced Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
8.70	<b>Engine Capital, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	\$100,001 - \$1,000,000
8.71	<b>Highline Capital Partners QP, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000
8.72	<b>Moab Partners, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
8.73	<b>Nokomis Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.74	<b>Overlook Partners Fund, L.P.</b> <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
8.75	<b>California Pollution Control Authority Bond</b> <i>Rate/Coupon: 0.84%</i> <i>Matures: 11/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
8.76	<b>Clackamas County Oregon School District Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
8.77	<b>Colorado State Educational Loan Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
8.78	<b>Derry Township Pennsylvania Indl &amp; Commercial Dev Revenue Bond</b> <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.79	<b>Dutchess County New York Indl Dev Agency Revenue Bond</b> <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.80	<b>Forsyth Montana Pollution Control Revenue Bond</b> <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
8.81	<b>Framingham Massachusetts BANS Bond</b> <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.82	<b>Illinois State Financial Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
8.83	<b>Indiana ST Fin Auth Environmental Bond</b> <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.84	<b>Irvine California Impt Bd Act 1915 Loc Muni Bond</b> <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.85	<b>Jackson County Mississippi Port Fac Muni Bond</b> <i>Rate/Coupon: 0.96% - Variable</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.86	<b>King County Washington Hsg Auth Var-Ref-Pooled Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
8.87	<b>Maryland State Hlth &amp; Higher Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 0.45% - Variable</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.88	<b>Massachusetts State Revenue Antic Nts Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.89	<b>Massachusetts State Health Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: Combines bonds with rates ranging from 0.22% (variable) to 5.5% (fixed); maturity dates ranging from 07/01/2026-08/15/2040; no longer held.</i>					
8.90	<b>Massachusetts State Water Resource Auth Bond</b> <i>Rate/Coupon: 0.9% - Variable</i> <i>Matures: 08/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
8.91	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines bonds with rates from 0.24% (variable) to 2.0% (fixed); maturity dates ranging from 08/01/2017-011/15/2045; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.92	<b>Metropolitan Washington DC Airports Auth Revenue Bond</b> <i>Rate/Coupon: 0.94% - Variable</i> <i>Matures: 10/01/2039</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.93	<b>Milford Connecticut BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.94	<b>Minnesota ST Agriculture Muni Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 09/01/2021</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
8.95	<b>Mississippi State Business Finance Corp Gulf Opportunity Zone Bond</b> <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 12/01/2030</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.96	<b>Missouri State Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates from 0.89% (variable)-5.375% (fixed); maturity dates ranging from 10/01/2024-03/15/2039; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
8.97	<b>Montgomery County Tennessee Public Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 3.9%</i> <i>Matures: 07/01/2034</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
8.98	<b>Nantucket Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.99	<b>Needham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/01/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.100	<b>New York NY Muni Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines bonds with rates from 0.51% (variable) to 0.87% (fixed); maturity dates ranging from 08/01/2036-04/01/2042; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.101	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates between 0.3%-0.72% (variable); maturity dates of 08/01/2039-08/01/2043; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.102	<b>New York City NY Muni Water Fin Auth Wtr &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates between 0.01-0.3% (variable); maturity dates of 06/15/2045-06/15/2050; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
8.103	<b>New York State Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 07/01/2031</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.104	<b>New York ST Hsg Fin Agy Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>  <i>Filer comment: Combines multiple bonds with rates between 0.03%-2.792% (variable); maturity dates of 05/01/2042-11/01/2046; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.105	<b>Nodaway County Missouri Indl Dev Auth Revenue Bond</b> <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 11/01/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.106	<b>San Antonio Texas Independent Sch Dist Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2044</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.107	<b>Triborough NY Bridge &amp; Tunnel Auth Revenue Bond</b> <i>Rate/Coupon: 0.34% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.108	<b>Valdez Alaska Marine Terminal Bond</b> <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
8.109	<b>Wisconsin ST Health &amp; Educational Facilities Revenue Bond</b> <i>Rate/Coupon: 0.48% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
8.110	<b>Charleston County South Carolina School District Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/16/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.111	<b>Fulton County Georgia General Fund - Tax Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.112	<b>Los Angeles California G.O. Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.113	<b>Salt Lake County Utah Tax &amp; Rev Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.114	<b>Westborough Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.115	<b>Rimrock High Income Plus (QP) Fund, L.P.</b> <i>Description: Private Investment Partnership (Irvine, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
8.116	<b>Tuscaloosa County Alabama Muni Bond</b> <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 04/01/2028</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
8.117	<b>Alaska ST International Airports Revenue Bond</b> <i>Rate/Coupon: 0.73% - Variable</i> <i>Matures: 10/01/2030</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.118	<b>Arizona ST Health Facilities Auth Revenue Bond</b> <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.119	<b>Ohio ST G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.120	<b>Delaware Valley PA Regional Financial Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.121	<b>Gallatin Tennessee Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.122	<b>Houston Texas Independent School District Ltd Tax-Schoolhouse Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.123	<b>Wisconsin State Environmental Impact Fund Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.124	<b>Albany County NY BANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
8.125	<b>Delaware Valley PA Regional Financial Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.126	<b>Richardson Texas Independent School District Building Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.127	<b>Lexington Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
8.128	<b>Granite Utah School District Salt Lake County Muni Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
8.129	<b>Portsmouth New Hampshire Muni Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.130	<b>Arizona ST Health Facilities Auth Revenue Bond</b> <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.131	<b>Minnesota Public Facilities Auth Clean Water Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.132	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: Combines multiple bonds ranging from 2.0%-4.0%; maturity dates from 4/23/18-6/01/2018; no longer held</i>					
8.133	<b>Hingham Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.134	<b>Beacon NY BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.135	<b>Somerville Massachusetts BANS Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
8.136	<b>Williamsville New York Central School District BANS Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.137	<b>Plymouth Massachusetts Muni Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.138	<b>Colorado State General Fund Revenue TANS Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.139	<b>Middle Country NY Central School District Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.140	<b>Manhasset NY Union Free Tax Antic Nts Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/29/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
8.141	<b>Colorado State Educational Loan Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.142	<b>E Baton Rouge Parish Louisiana Muni Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 12/01/2040</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
8.143	<b>Guttenberg New Jersey Muni Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 06/18/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
8.144	<b>Illinois State Financial Auth Revenue Bond</b> <i>Rate/Coupon: See note</i> <i>Matures: See note</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: Combines multiple bonds with rates from 0.89%-1.12% (variable); maturity dates of 08/01/2043-08/01/2044; no longer held</i>					
8.145	<b>Iowa Higher Ed LN Auth Revenue Bond</b> <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2024</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
8.146	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 1.16% - Variable</i> <i>Matures: 11/01/2035</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
8.147	<b>Oregon St Health &amp; Science Muni Bond</b> <i>Rate/Coupon: 1.1% - Variable</i> <i>Matures: 07/01/2042</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
8.148	<b>Willoughby Ohio Muni Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/24/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
8.149	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
9	<b>F. Annette Scott Revocable Trust</b>	Trust General Trust	Spouse			
9.1	<b>Merrill Lynch (Bank of America)</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
9.2	<b>BIF Money Fund</b>	Mutual Funds Stable Value Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends	\$15,001 - \$50,000
9.3	<b>Oregon State Tax Antic Nts G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
9.4	<b>Bay Area Toll at California Bridge Revenue Bond</b> <i>Rate/Coupon: 2.62% - Variable</i> <i>Matures: 04/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.5	<b>Bay Area Toll Auth California Revenue Bond</b> <i>Rate/Coupon: 2.36% - Variable</i> <i>Matures: 11/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$15,001 - \$50,000
9.6	<b>Los Angeles California Transportation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/28/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
9.7	<b>Morgan Stanley</b> (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.8	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest	None (or less than \$201)
9.9	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.10	<b>FHLMC 30 Year Gold</b>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest	None (or less than \$201)
9.11	<b>Federal National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9.12	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	None (or less than \$201)


#	Asset	Asset Type	Owner	Value	Income Type	Income
9.13	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.14	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.15	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.16	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.17	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	None (or less than \$201)
9.18	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.19	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.20	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	None (or less than \$201)
9.21	<b>Illinois Fin A Revenue Bond</b> <i>Rate/Coupon: 5.375%</i> <i>Matures: 04/01/2044</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
9.22	<b>Morgan Stanley Active Assets Govt Trust</b>	Mutual Funds Stable Value Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
9.23	<b>Lower Colorado River Auth Texas Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest	\$2,501 - \$5,000
9.24	<b>California Muni Fin Auth Solid Waste District Revenue Bond</b> <i>Rate/Coupon: 2.0% - Floating</i> <i>Matures: 12/01/2044</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
9.25	<b>Canadian River Texas Municipal Water Auth Contract Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
9.26	<b>Texas A&amp;M University Fd Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
9.27	<b>Black Belt Energy Gas Dist Algas Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2047</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
9.28	<b>Massachusetts Water Res Auth General Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.29	<b>Municipal Electric Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 01/01/2023</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
9.30	<b>Seattle Washington Solid Waste Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
9.31	<b>Hudson Yds Infrastructure Corp NY Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2029</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
9.32	<b>California State Public Works Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2030</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
9.33	<b><u>ARLP</u> - Alliance Resource Partners, L.P.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
9.34	<b><u>AM</u> - Antero Midstream Partners LP</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.35	<b><u>FUN</u> - Cedar Fair, L.P.</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.36	<b><u>DM</u> - Dominion Energy Midstream Partners, LP</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
9.37	<b><u>ETE</u> - Energy Transfer Equity, L.P.</b>	Corporate Securities Stock	Spouse	\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000
9.38	<b><u>EPD</u> - Enterprise Products Partners L.P.</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.39	<b><u>EQGP</u> - EQT GP Holdings, LP</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.40	<b><u>EQM</u> - EQT Midstream Partners, LP</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
9.41	<b><u>NEP</u> - NextEra Energy Partners, LP</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.42	<b><u>NYLD</u> - NRG Yield, Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
9.43	<b><u>OKE</u> - ONEOK, Inc.</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.44	<b><u>SRE</u> - Sempra Energy</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.45	<b><u>TRGP</u> - Targa Resources Corp.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
9.46	<b><u>WGP</u> - Western Gas Equity Partners, LP</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.47	<b><u>WMB</u> - The Williams Companies, Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.48	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
9.49	<b>Pershing Advisors - F. Annette Scott IRA</b>	Retirement Plans IRA	Spouse			
9.49.1	<b>Pershing Treasury Account Fund - PTA</b>	Mutual Funds Stable Value Fund	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
9.49.2	<b>OEF-iShares S&amp;P 100 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)



#	Asset	Asset Type	Owner	Value	Income Type	Income
9.50	<b>Wells Fargo Bank, N.A.</b> (Tallahassee, FL) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
9.51	<b>Mutual of Omaha Bank</b> (Naples, FL) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
9.52	<b>Loan to Cathy Gellatly</b> <i>Debtor: Cathy Gellatly</i>	Accounts Receivable From an Individual	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
9.53	<b>Account Receivable from Pharmaca Investments, LLC</b> <i>Company: Pharmaca Investments, LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
9.54	<b>Account Receivable from S&amp;S Equity Partners I LLC</b> <i>Company: S&amp;S Equity Partners I LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
9.55	<b>AG Energy Partners II, L.P.</b> <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.56	<b>AG Super Fund, L.P.</b> <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.57	<b>AJ I Gainline LLC</b> <i>Description: Private Investment Partnership (New York, New York)</i>  <i>Private comment for Ethics: Alternative disclosure requested. "No assets" selected to show valuation and income at asset level.</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.58	<b>AJ 2 Elliott LLC</b> <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
9.58.1	<b>Ancora Catalyst SPV I L.P.</b> <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends	\$100,001 - \$1,000,000
9.59	<b>CAI Partners and Company IV L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$50,001 - \$100,000
9.60	<b>Canyon Laurel Fund L.P.</b> <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
9.61	<b>G. Scott Capital Partners</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
9.62	<b>OVO Fund II, LLC</b> <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
9.63	<b>Pharmaca Investments, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.64	<b>Richard L. and F. Annette Scott Family Partnership</b> <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Underlying assets disclosed elsewhere in this report	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
9.65	<b>RLSI-EMIDA Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
9.66	<b>S&amp;S Debt Partners I, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Interest	\$100,001 - \$1,000,000
9.67	<b>S&amp;S Equity Partners I LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
9.68	<b>S&amp;S Sports, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
9.69	<b>VSS Structured Capital III, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.70	<b>Columbia Collier Management, LLC</b> <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
9.71	<b>Mesa Arizona Excise Tax Revenue Bond</b> <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 07/01/2027  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.72	<b>Washington State Health Care Facilities Auth Revenue Bond</b> <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 10/01/2017  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.73	<b>North Carolina ST Grant Antic Revenue Bond</b> <i>Rate/Coupon:</i> 2.0% - Variable <i>Matures:</i> 03/01/2023  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
9.74	<b>Fremont California Unified School District Alameda County</b> <i>Rate/Coupon:</i> 3.25% <i>Matures:</i> 08/01/2039  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
9.75	<b>Seattle Washington Municipal Light &amp; Power Revenue Bond</b> <i>Rate/Coupon:</i> 5.25% <i>Matures:</i> 04/01/2020  <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.76	<b>San Diego California Unified School District Tax &amp; Revenue Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/30/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.77	<b>Pennsylvania State Turnpike Commission Muni Bond</b> <i>Rate/Coupon: Variable</i> <i>Matures: 12/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
9.78	<b>California State Public Works Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/01/2027</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
	<i>Filer comment: No longer held</i>					
9.79	<b>District of Columbia G.O. Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2024</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.80	<b>Bay Area Toll Auth California Toll Bridge Revenue Bond</b> <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2045</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.81	<b>Bay Area Toll Auth California Toll Bridge Revenue Bond</b> <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2047</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.82	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.83	<b>Denver Colorado City &amp; County Airport Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.84	<b>Sales Tax Asset Receivable New York Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/15/2025</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.85	<b>Arizona State Transportation Board Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.86	<b>Mesa Arizona Street &amp; Highway Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.87	<b>Alvin Texas Independent BE Muni Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2036</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.88	<b>California State Variable Purpose Bond</b> Rate/Coupon: 5.0 Matures: 09/01/2019  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.89	<b>California St G.O. Bond</b> Rate/Coupon: 5.25% Matures: 09/01/2022  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.90	<b>Chicago Airport BE Bond</b> Rate/Coupon: 5.25% Matures: 01/01/2018  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
9.91	<b>Chicago General BE Bond</b> Rate/Coupon: 5.0% Matures: 01/01/2022  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
9.92	<b>Grand Prairie Texas Independent School District Bond</b> Rate/Coupon: 5.0% Matures: 02/15/2025  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
9.93	<b>Connecticut State Spec Tax Revenue Bond</b> Rate/Coupon: 5.0% Matures: 12/01/2020  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
9.94	<b>Illinois ST Sales Tax &amp; Revenue Bond</b> Rate/Coupon: 5.0% Matures: 06/15/2021  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.95	<b>Lower Alabama Gas Project Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
9.96	<b>Massachusetts State BE Bond</b> <i>Rate/Coupon: 1.38% - Variable</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
9.97	<b>Massachusetts State BE Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
9.98	<b>Metropolitan Washington DC Airports Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
9.99	<b>Metropolitan Transportation Authority of New York Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.100	<b>Michigan State Hospital Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2031</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
9.101	<b>Milwaukee Wisconsin Metro Sewer District Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
9.102	<b>New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond</b> <i>Rate/Coupon: 1.27% - Variable</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
9.103	<b>New York State Muni Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
9.104	<b>New York City NY Transition Fin Auth Bldg Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
9.105	<b>Port of Seattle Washington Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
9.106	<b>San Antonio Texas Electric &amp; Gas Revenue Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
9.107	<b>Connecticut State Spec Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.108	<b>Triborough Bridge &amp; Tunnel Auth New York Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.109	<b>ENB-Enbridge Inc.</b>  <i>Filer comment: No longer held. A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
9.110	<b>Massachusetts State G.O. Bond</b> <i>Rate/Coupon: 1.413% - Variable</i> <i>Matures: 05/21/2018</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.111	<b>Illinois Fin Auth Revenue Bond</b> <i>Rate/Coupon: 6.5%</i> <i>Matures: 11/01/2038</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
9.112	<b>Illinois State Sales Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2021</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
9.113	<b>AHGP - Alliance Holdings GP, L.P.</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
9.114	<b>MPLX - MPLX LP</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
9.115	<b>NSH - NuStar GP Holdings, LLC</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.116	<b>Briarwood Capital Partners LP</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.117	<b>Canyon Balanced Fund, L.P.</b> <i>Description:</i> Private Investment Partnership (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	Over \$1,000,000 and held independently by spouse or dependent child
9.118	<b>Elliott Associates, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.119	<b>Engine Capital, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at asset level.	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child
9.120	<b>Highline Capital Partners QP, LP</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.121	<b>Mendon Capital QP LP</b> <i>Description:</i> Private Investment Partnership (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.122	<b>Moab Partners, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
9.123	<b>Nokomis Capital Partners, L.P.</b> <i>Description:</i> Private Investment Partnership (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.124	<b>Overlook 3G Investments, L.P.</b> <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
9.125	<b>Overlook Partners Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
9.126	<b>Fortress Secured Lending Fund I (A) LP</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
9.127	<b>JMB Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$100,001 - \$1,000,000
9.128	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
9.129	<b>Dreyfus - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
9.130	<b>G. Scott Holding, LLC</b> <i>Company:</i> G. Scott Holding, LLC (Rowayton, CT) <i>Description:</i> Investment Management	Corporate Securities Non-Public Stock	Spouse	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
9.131	<b>Fulton Chain Acquisition LLC</b> <i>Description:</i> Private Investment Partnership (Rowayton, CT) 🔒 <i>Private comment for Ethics:</i> Alternative Disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
10	<b>Richard L. And F. Annette Scott Family Partnership, Ltd.</b> <i>Company:</i> Richard L. And F. Annette Scott Family Partnership, Ltd. (Naples, Florida) <i>Description:</i> Investment Limited Partnership	Business Entity Limited Partnership (LP)	Spouse			
10.1	<b>Morgan Stanley</b> (Los Angeles, CA) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.2	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest	None (or less than \$201)
10.3	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest	None (or less than \$201)
10.4	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
10.5	<b>Government National Mortgage Assn Pool</b>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
10.6	<b>Neuberger Berman</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
10.7	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
10.8	<b>WTT-Wireless Telecom Group, Inc.</b>	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.9	<b>Account Receivable from Nokomis Capital Partners, L.P.</b> <i>Company:</i> Nokomis Capital Partners, L.P. (Dallas, Texas)	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
10.10	<b>AG Super Fund, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
10.11	<b>G. Scott Capital Partners</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show value and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
10.12	<b>Pharmaca Investments, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
10.13	<b>RLSI-CSP Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, CT) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show value at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.14	<b>RLSI-EMIDA Capital Partners, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
10.15	<b>S&amp;S Equity Partners I LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
10.16	<b>S&amp;S Sports, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest	Over \$1,000,000 and held independently by spouse or dependent child
10.17	<b>ENB - Enbridge Inc.</b> <i>Filer comment:</i> No longer held. A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.18	<b>OKE - ONEOK, Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$50,001 - \$100,000
10.19	<b>AHGP - Alliance Holdings GP, L.P.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
10.20	<b>AM - Antero Midstream Partners LP</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
10.21	<b>DM - Dominion Energy Midstream Partners, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.22	<b>ETE - Energy Transfer Equity, L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
10.23	<b>EPD-Enterprise Products Partners L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.24	<b>EQGP - EQT GP Holdings, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.25	<b>EQM - EQT Midstream Partners, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.26	<b>MPLX - MPLX LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
10.27	<b>NEP - NextEra Energy Partners, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
10.28	<b>SRE - Sempra Energy</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.29	<b>TRGP - Targa Resources Corp.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
10.30	<b>WGP - Western Gas Equity Partners, LP</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.31	<b>WMB - The Williams Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.32	<b>ASYS - Amtech Systems, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
10.33	<b>NSH - NuStar GP Holdings, LLC</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.34	<b>ABT - Abbott Laboratories</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.35	<b>GOOGL - Alphabet Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
10.36	<b>AAPL - Apple Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
10.37	<b>BAC - Bank of America Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
10.38	<b>FUN - Cedar Fair, L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
10.39	<b>CCI - Crown Castle International Corp. (REIT)</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.40	<b>COMM - CommScope Holding Company, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.41	<b>DVY-iShares Select Dividend ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000
10.42	<b>GILD - Gilead Sciences, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.43	<b>GSPKX - Goldman Sachs US Eq Div and Prem Instl</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$15,001 - \$50,000
10.44	<b>HASI - Hannon Armstrong Sustainable Infrastructure Capita</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
10.45	<b>JNJ - Johnson &amp; Johnson</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
10.46	<b>MSFT - Microsoft Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$100,001 - \$1,000,000
10.47	<b>PNC - The PNC Financial Services Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.48	<b>KBWB - Invesco KBW Bank ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$50,001 - \$100,000
10.49	<b>LLDYX - Lord Abbett Short Duration Income I</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
10.50	<b>PPA - Invesco Aerospace &amp; Defense ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund	\$15,001 - \$50,000
10.51	<b>C - Citigroup Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.52	<b>UTG - Reaves Utility Income Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
10.53	<b>CAT - Caterpillar Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.54	<b>EMR - Emerson Electric Co.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.55	<b>EOG - EOG Resources, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.56	<b>EWJ-iShares MSCI Japan ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$2,501 - \$5,000
10.57	<b>KEM - KEMET Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.58	<b>KEY - KeyCorp</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.59	<b>LMT - Lockheed Martin Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.60	<b>MMP - Magellan Midstream Partners, L.P.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.61	<b>Mitsubishi UFJ Financial Group Inc. - MTU</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.62	<b><u>RILY</u> - B. Riley Financial, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.63	<b><u>RTN</u> - Raytheon Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.64	<b><u>VICI</u> - VICI Properties Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.65	<b><u>WMB</u> - The Williams Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.66	<b><u>CB</u> - Chubb Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.67	<b><u>CABO</u> - Cable One, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.68	<b><u>GS</u> - The Goldman Sachs Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.69	<b><u>MMC</u> - Marsh &amp; McLennan Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.70	<b><u>NVGS</u>-Navigator Holdings Ltd.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.71	<b><u>PFE</u> - Pfizer Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.72	<b>Canyon Balanced Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
10.73	<b>Engine Capital, LP</b> <i>Description:</i> Private Investment Vehicle (New York, New York) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	\$100,001 - \$1,000,000
10.74	<b>Nokomis Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	\$50,001 - \$100,000
10.75	<b>Overlook 3G Investments, L.P.</b> <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
10.76	<b>Overlook Partners Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
10.77	<b>Rimrock High Income Plus (QP) Fund, L.P.</b> <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
10.78	<b>Account Receivable from S&amp;S Equity Partners I, LLC</b> <i>Company:</i> S&S Equity Partners I, LLC (Naples, FL)	Accounts Receivable From a Business	Spouse	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.79	<b>GQETX - GMO Quality III</b> <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$100,001 - \$1,000,000
10.80	<b>JMB Capital Partners, L.P.</b> <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000
10.81	<b>S&amp;S Debt Partners I, LLC</b> <i>Description:</i> Private Investment Vehicle (Rowayton, CT) 🔒 <i>Private comment for Ethics:</i> Alternative disclosure requested. "No assets" selected to show valuation and income at entity level.	Investment Fund Private Equity Fund	Spouse	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
10.82	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$5,001 - \$15,000
10.83	<b>Dreyfus - BNY Mellon</b> (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
11	<b>Wells Fargo Bank, N.A.</b> (Tallahassee, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$15,001 - \$50,000	None	None (or less than \$201)
12	<b>Mutual of Omaha Bank</b> (Naples, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$50,001 - \$100,000	None	None (or less than \$201)
13	<b>Pershing Advisor Solutions LLC IRA</b>	Retirement Plans IRA	Self			
13.1	<b>Pershing Advisor Solutions - BNY Mellon</b> (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$100,001 - \$250,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
13.2	<b>AAPL-Apple Inc.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.3	<b>ABT-Abbott Laboratories</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.4	<b>AMAT-Applied Materials, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.5	<b>BAC-Bank of America Corporation</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.6	<b>C-Citigroup Inc.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.7	<b>CAT-Caterpillar Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.8	<b>CCI-Crown Castle International Corp. (REIT)</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.9	<b>CDEV-Centennial Resource Development, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.10	<b>COMM-CommScope Holding Company, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.11	<b>CVX-Chevron Corporation</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.12	<b>EGN-Energen Corporation</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.13	<b>DVY-iShares Select Dividend ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)



#	Asset	Asset Type	Owner	Value	Income Type	Income
13.14	<b>EMR-Emerson Electric Co.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.15	<b>EOG-EOG Resources, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.16	<b>FUN-Cedar Fair, L.P.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.17	<b>GDX-VanEck Vectors Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.18	<b>GILD-Gilead Sciences, Inc.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.19	<b>HASI-Hannon Armstrong Sustainable Infrastructure Capita</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.20	<b>GLD - SPDR Gold Shares</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.21	<b>GOOGL-Alphabet Inc.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.22	<b>GSPKX-Goldman Sachs US Eq Div and Prem Instl</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.23	<b>JNJ-Johnson &amp; Johnson</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.24	<b>KEM-KEMET Corporation</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.25	<b>KEY-KeyCorp</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
13.26	<b>KMT-Kennametal Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.27	<b>LLDYX-Lord Abbett Short Duration Income I</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.28	<b>LMT-Lockheed Martin Corporation</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.29	<b>MMP-Magellan Midstream Partners, L.P.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.30	<b>MSFT-Microsoft Corporation</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.31	<b>PNC-The PNC Financial Services Group, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.32	<b>PPA-Invesco Aerospace &amp; Defense ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.33	<b>Pershing Treasury Account - PTA</b>	Mutual Funds Stable Value Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
13.34	<b>RTN-Raytheon Company</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.35	<b>SLV - iShares Silver Trust</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.36	<b>Taiwan Semiconductor Mfg Co. - TSM</b>	American Depository Receipt	Self	\$1,001 - \$15,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
13.37	<b>UTG-Reaves Utility Income Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.38	<b>VICI-VICI Properties Inc.</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
13.39	<b>WGP-Western Gas Equity Partners, LP</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.40	<b>XOM-Exxon Mobil Corporation</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.41	<b>ZBH-Zimmer Biomet Holdings, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.42	<b><u>RILY</u> - B. Riley Financial, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.43	<b>Mitsubishi UFJ Financial Group Inc. - MTU</b>  <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	None	None (or less than \$201)
13.44	<b><u>SLV</u> - iShares Silver Trust</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
13.45	<b><u>GS</u> - The Goldman Sachs Group, Inc.</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)
13.46	<b><u>MMC</u> - Marsh &amp; McLennan Companies, Inc.</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)
13.47	<b><u>PFE</u> - Pfizer Inc.</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
13.48	<b><u>EWJ</u> - iShares MSCI Japan ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None	None (or less than \$201)
13.49	<b><u>NVGS</u> - Navigator Holdings Ltd.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)
13.50	<b><u>KBWB</u> - Invesco KBW Bank ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

**Not required**

#### Part 4b. Transactions

**Not required**

#### Part 5. Gifts

**Not required**

#### Part 6. Travel

**Not required**

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

**Part 8. Positions**

Did you hold any outside positions during the reporting period? **No**

**Part 9. Agreements**

Did you have any reportable agreement or arrangement with an outside entity? **No**

**Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

**Attachments & Comments**

*No attachments added.*

*No comments added.*

*No private comments added.*