

United States Senate

Financial Disclosures

Candidate Report

The Honorable Rick Scott

State of Candidacy: FL | Candidacy Commenced: 03/26/2018

Filed 07/27/2018 @ 4:16 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of Florida Tallahassee, Florida	\$0.18

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Personal Residence - Naples <i>Description:</i> Florida Parcel: 09380240002 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
2	Boathouse - Naples <i>Description:</i> Florida Parcel: 13420001922 (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
3	Personal Residence - Montana <i>Description:</i> Geo Code: 4498-34-2-01-05-0000 (Troy, MT) <i>Filer comment:</i> Included for purposes of completeness.	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
4	Refundable Club Deposit 1 <i>Company:</i> Royal Poinciana (Naples, FL) <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5	Refundable Club Deposit 2 <i>Company:</i> Red Sky (Wolcott, CO) <i>Filer comment:</i> Included for purposes of completeness.	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6	Richard L. Scott Blind Trust 2014 (Qualified under the laws of the State of Florida)	Trust General Trust	Self			
6.1	Alaska ST International Airports Revenue Bond <i>Rate/Coupon:</i> 0.73% - Variable <i>Matures:</i> 10/01/2030	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$5,001 - \$15,000
6.2	BNY Mellon (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
	Asset	Asset Type	Owner	Value	Income Type	Income

6.3	Morgan Stanley (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6.4	Morgan Stanley (Los Angeles, CA) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.5	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.6	Lower Colorado River Auth Texas Rev Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.7	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/15/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$2,501 - \$5,000
6.8	California St Variable Purpose G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.9	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.10	Massachusetts Variable Monthly Consolidated Loan Bond <i>Rate/Coupon: 3.284% Floating</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.11	Chicago Illinois O'Hare Intl Airport Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000

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6.12	Texas A&M University Perm Univ Fd Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.13	Milwaukee Wisconsin Metro Sewer District Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.14	California St Public Works Revenue - Cal St Univ Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2028</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.15	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.16	Massachusetts Water Res Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.17	California State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.18	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
6.19	York County South Carolina Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2024</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000

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6.20	Canadian River Texas Muni Water Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.21	Grand Prairie Texas Independent School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.22	Massachusetts State Dev Fin Agency Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$15,001 - \$50,000
6.23	Port of Seattle Washington Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.24	New York State Urban Dev Corp Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.25	Las Vegas Valley Nevada Water District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$50,001 - \$100,000
6.26	Washington State Motor Vehicle Fuel Tax G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.27	Lower Alabama Gas Project Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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6.28	Salt River Proj Agric Power District Ariz Elec System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2029</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.29	North Texas Tollway Authority Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
6.30	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.31	Chicago Illinois Met Water Reclamation District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2030</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.32	Indiana Municipal Power Agency Power Supply System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.33	New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond <i>Rate/Coupon: 2.078% - Floating</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
6.34	Black Belt Energy Gas Dist Ala Gas Supply Revenue Bond <i>Rate/Coupon: 4.0% - Floating</i> <i>Matures: 07/01/2046</i>	Government Securities Municipal Security	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
6.35	California State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i>	Government Securities Municipal Security	Self	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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6.36	Neuberger Berman (New York, NY) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
6.37	ARLP - Alliance Resource Partners, L.P.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.38	AM - Antero Midstream Partners LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.39	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.40	DM - Dominion Energy Midstream Partners, LP	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
6.41	ETE - Energy Transfer Equity, L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
6.42	EPD - Enterprise Products Partners L.P.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.43	EQGP - EQT GP Holdings, LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.44	EQM - EQT Midstream Partners, LP	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.45	NEP - NextEra Energy Partners, LP	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
6.46	NYLD - NRG Yield, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.47	OKE - ONEOK, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000

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6.48	SRE - Sempra Energy	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.49	TRGP - Targa Resources Corp.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
6.50	WGP - Western Gas Equity Partners, LP	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
6.51	WMB - The Williams Companies, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.52	WTT - Wireless Telecom Group, Inc.	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
6.53	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.54	Promissory Note <i>Company: Pharmaca Investments, LLC (Rowayton, Connecticut)</i>	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.55	AG Energy Partners II, L.P. <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.56	AG Super Fund, L.P. <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.57	AJ I Gainline LLC <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
6.58	AJ 2 Elliott LLC <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Private Equity Fund	Self			

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6.58.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends,	\$100,001 - \$1,000,000
6.59	CAI Partners and Company IV L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.60	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.61	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.62	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest,	\$1,000,001 - \$5,000,000
6.63	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.64	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6.65	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains,	Over \$5,000,000
6.66	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

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6.67	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.68	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	Dividends, Interest,	\$100,001 - \$1,000,000
6.69	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
6.70	Vestar Executive V, LP / Vestar Executives IV, LP / Vestar - AIV Holdings B, LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
6.71	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.72	Columbia Collier Management, LLC <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
6.73	Account Receivable from Seabass Properties LLC <i>Company:</i> Seabass Properties LLC (Austin, TX)	Accounts Receivable From a Business	Self	\$1,000,001 - \$5,000,000	Interest,	\$15,001 - \$50,000
6.74	Account Receivable from AJ I Gainline LLC <i>Company:</i> AJ I Gainline LLC (New York, New York)	Accounts Receivable From a Business	Self	None (or less than \$1,001)	None,	None (or less than \$201)

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6.75	California Pollution Control Authority Bond <i>Rate/Coupon: 0.84% - Variable</i> <i>Matures: 11/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.76	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.77	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.78	Dallas Texas Performing Arts Muni Bond <i>Rate/Coupon: 0.43% - Variable</i> <i>Matures: 09/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.79	Derry Township Pennsylvania Indl & Commercial Dev Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: 11/01/2030</i> <i>Filer comment: Combines multiple bonds with rates from 1.1%-1.4% (variable); no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.80	Douglas County Nebraska Hospital Bond <i>Rate/Coupon: 0.08% - Variable</i> <i>Matures: 08/15/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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6.81	Dutchess County New York Indl Dev Agency Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.82	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.83	Hamilton County Ohio Sewer System Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.84	Houston Texas Utility Sys Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds each at 5% interest; maturity dates from 11/15/2018-11/15/2033; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.85	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 10/01/2030-08/01/2044; variable interest rates from 0.75%-1.12%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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6.86	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.87	Indiana ST Fin Auth Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.88	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon: 0.33% - Variable</i> <i>Matures: 10/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.89	Iowa Fin Auth Health Muni Bond <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.90	Irvine California Impt Bd Act 1915 Loc Muni Bond <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.91	Kansas St Dev Fin Auth Muni Bond <i>Rate/Coupon: 0.05% - Variable</i> <i>Matures: 03/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.92	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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6.93	Manteca California Rede Bond <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.94	Maryland State Hlth & Higher Educational Facilities Revenue Bond <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.95	Massachusetts State G.O. Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.96	Massachusetts State G.O. Bond <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.97	Massachusetts State Health Muni Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.98	Massachusetts State Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.07%</i> <i>Matures: 12/01/2037</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.99	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.100	Milwaukee Wisconsin Area Technical College Promissory Notes Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.101	Mississippi State Business Finance Corp Gulf Opportunity Zone Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 12/01/2030-11/10/2035; all at variable interest from 0.07%-0.24%. None are currently held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.102	Missouri State Health & Educational Facilities Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.97% (variable) to 5.375% (fixed) with maturity dates of 11/01/2031-03/15/2039; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.103	Missouri State Development Finance Muni Bond <i>Rate/Coupon: 0.16% - Variable</i> <i>Matures: 12/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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6.104	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 04/01/2032-02/01/2036; variable interest rates from 1.1%-1.75%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.105	Nantucket Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.106	Needham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.107	New York City NY Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 08/01/2031-04/01/2042; all at variable interest from 0.51%-0.87%. None are currently held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.108	New York City NY Hsg Dev Corp Multifamily Rent Revenue Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 03/15/2036</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.109	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 11/01/2036-02/01/2045; all at variable interest at 1.12%. None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.110	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon:</i> 0.3% - Variable <i>Matures:</i> 06/15/2048 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.111	New York State Dorm Auth Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 03/15/2020-07/01/2031; all at variable interest (0.74%) or fixed interest (5.0%). None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.112	New York State Hsg Fin Agy Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with maturity dates from 05/01/2042-11/01/2046; all at variable interest from 0.04%-2.792%. None are currently held.	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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6.113	New York NY Municipal Bond Bank Agy Apl Sch Purp Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.114	Nodaway County Missouri Indl Dev Auth Revenue Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 11/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.115	North Carolina Med CA Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 08/15/2034</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.116	Pennsylvania State High Educational Facility Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.117	Snohomish County Washington School District Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.118	Pennsylvania State Public School Building Muni Bond <i>Rate/Coupon: 0.65% - Variable</i> <i>Matures: 08/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.119	Topeka Kansas - Temp Nts-Gen Impts-Ser A <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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6.120	Valdez Alaska Marine Terminal Refinancing Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 12/01/2029</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.121	Washington State Hsg Fin Comm Nonprofit Revenue Bond <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.122	West Windsor-Plainsboro New Jersey Regional Sch Dist Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.123	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.124	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.125	Framingham Massachusetts Muni Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.126	Fulton County Georgia General Fund - Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.127	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.128	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.129	Salt Lake County Utah Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.130	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.131	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with a rate of 1.1%-5.375%; maturity dates ranging from 01/01/2032- 01/01/2046; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

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6.132	El Dorado California Irrigation District Revenue Bond <i>Rate/Coupon: 4.25%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.133	Ohio ST G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.134	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: 06/01/2042</i> <i>Filer comment: Combines multiple bonds with variable interest from 1.09%-1.75%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.135	Richland County South Carolina School Dist #1 Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.136	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon: See note</i> <i>Matures: 01/01/2033</i> <i>Filer comment: Combines multiple bonds between 1.1%-1.75% variable; same maturity dates; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.137	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.138	Houston Texas Independent School District Ltd Tax-Schoolhouse Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.139	Wisconsin ST Environmental Impact Fund Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.140	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: No longer held</i>	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.141	Ramsey New Jersey School Dist Ref Bond <i>Rate/Coupon: 3%</i> <i>Matures: 01/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.142	Collin County Texas G.O. Bond <i>Rate/Coupon: 5%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.143	El Paso Texas Independent School District Utility Tax Bond <i>Rate/Coupon: 4.125%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.144	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

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6.145	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.146	Beaufort County South Carolina School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.147	Lexington County South Carolina School District No. 1 Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.148	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates between 03/01/2018-04/01/2018; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.149	Seattle Washington Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.150	Union County New Jersey Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.151	California St G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

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6.152	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: 02/01/2018</i> <i>Filer comment: Combines bonds with rates of 4-5%; no longer held.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.153	Park City Utah Sales Tax Revenue <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.154	Alpine Utah School District Building Bond <i>Rate/Coupon: 4.25%</i> <i>Matures: 03/15/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.155	Cleveland Ohio Waterworks Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.156	Texas State Transportation - Commn Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.157	Grand Rapids Michigan Sanitn Sewer System Bond <i>Rate/Coupon: 4.8%</i> <i>Matures: 01/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.158	Metropolitan Government of Nashville & Davidson Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.159	Pennsylvania State Univ Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/15/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.160	Ohio State Higher Educational Facilities Commission Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
6.161	Louisville & Jefferson County Kentucky Met Govt Health Facilities Revenue Bond <i>Rate/Coupon: 6.125%</i> <i>Matures: 02/01/2037</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.162	Massachusetts State Water Pollution Abatement Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 02/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.163	Clark-Shawnee Ohio Local Sch District BANS Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 02/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.164	Ithaca City New York Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.165	Minnesota Public Facilities Auth Clean Water Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

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6.166	S C Association of Govt Organization Cops Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.167	Windsor Locks Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.168	New York St Local Govt Assistance Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.169	New York Sr Tollway Auth Svc Contract Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.170	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
6.171	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.172	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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6.173	Georgetown County South Carolina School District Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/21/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.174	Rochester NY BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.175	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.176	Union Township Ohio BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.177	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.178	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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6.179	District of Columbia Hospital Revenue Bond - Childrens Hosp <i>Rate/Coupon: See note</i> <i>Matures: 08/15/2038</i> <i>Filer comment: Combines multiple bonds with rates from 0.96%-1.73% (variable); no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.180	E Baton Rouge Parish Louisiana Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with maturity dates from 08/01/2035-12/01/2040; variable interest rates from 0.98%-1.11%; no longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.181	Lancaster County PA Ho Muni Bond <i>Rate/Coupon: 1.13% - Variable</i> <i>Matures: 07/01/2034</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.182	Briarwood Capital Partners LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.183	Canyon Balanced Fund, L.P. <i>Description: Private Investment Partnership (Los Angeles, California)</i>	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.184	Oregon St Health & Science Muni Bond <i>Rate/Coupon: 1.1% - Variable</i> <i>Matures: 07/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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6.185	University of Michigan Univ Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
6.186	Elliott Associates, L.P. <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.187	Engine Capital, LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.188	Highline Capital Partners QP, LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.189	Mendon Capital QP LP <i>Description: Private Investment Partnership (Chicago, Illinois)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.190	Moab Partners, L.P. <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
6.191	Nokomis Capital Partners, L.P. <i>Description: Private Investment Vehicle (Dallas, Texas)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.192	Overlook 3G Investments, L.P. <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.193	Overlook Partners Fund, L.P. <i>Description: Private Investment Vehicle (Hong Kong, N/A)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.194	ASYS - Amtech Systems, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000

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6.195	CB - Chubb Limited <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.196	CABO-Cable One, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.197	GS - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.198	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.199	NVGS - Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.200	GOOGL - Alphabet Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
6.201	AAPL - Apple Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.202	GILD - Gilead Sciences, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.203	MSFT - Microsoft Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.204	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
6.205	UTG - Reaves Utility Income Fund <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000

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6.206	FUN - Cedar Fair, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.207	ABT - Abbott Laboratories <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.208	BAC - Bank of America Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.209	C - Citigroup Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.210	CCI - Crown Castle International Corp. (REIT) <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.211	GSPKX - Goldman Sachs US Eq Div and Prem Instl <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
6.212	HASI - Hannon Armstrong Sustainable Infrastructure Capita <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.213	DVY-iShares Select Dividend ETF <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
6.214	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
6.215	JNJ - Johnson & Johnson <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.216	KEY - KeyCorp <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
	Asset	Asset Type	Owner	Value	Income Type	Income

6.217	LMT - Lockheed Martin Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.218	LLDYX - Lord Abbett Short Duration Income I <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
6.219	PNC - The PNC Financial Services Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.220	RTN - Raytheon Company <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
6.221	PPA - Invesco Aerospace & Defense ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
6.222	KBWB - Invesco KBW Bank ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000
6.223	BX - The Blackstone Group L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
6.224	ETP - Energy Transfer Partners, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
6.225	EPD - Enterprise Products Partners L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
6.226	OAK-Oaktree Capital Group, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

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6.227	WGP - Western Gas Equity Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.228	COMM - CommScope Holding Company, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.229	AMAT - Applied Materials, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.230	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
6.231	GLD - SPDR Gold Shares <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
6.232	Taiwan Semiconductor Mfg Co. - TSM <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.233	ENB - Enbridge Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
6.234	SEP - Spectra Energy Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
6.235	Rimrock High Income Plus (QP) Fund, L.P. <i>Description: Private Investment Vehicle (Irvine, California)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
6.236	GQETX - GMO Quality III <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000

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6.237	Account Receivable from S&S Family Entertainment, LLC <i>Company:</i> S&S Family Entertainment, LLC (Rowayton, CT) <i>Filer comment:</i> No longer held	Accounts Receivable From a Business	Self	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
6.238	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$50,001 - \$100,000
6.239	Oscar S. Shafer & Partners II LP <i>Description:</i> Private Investment Vehicle (New York, NY) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
6.240	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$25,000,001 - \$50,000,000	Interest,	\$50,001 - \$100,000
6.241	Dreyfus - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.242	Fulton Chain Acquisition LLC <i>Description:</i> Private Investment Partnership (Rowayton, CT)	Investment Fund Private Equity Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7	F. Annette Scott 2015 GRAT Trust	Trust General Trust	Spouse			
7.1	Alaska ST International Airports Revenue Bond <i>Rate/Coupon:</i> 1.5% - Variable <i>Matures:</i> 10/01/2030	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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7.2	Athens-Clarke County Georgia Unif University Georgia Athletic Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.3	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.4	Fairfax County VA Econ Dev Auth Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 12/01/2033</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.5	Illinois State Financial Auth Revenue Bond - University of Chicago Med <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 08/01/2043</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.6	Illinois ST Health Facs Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.7	Indiana ST Fin Auth Environmental Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 12/01/2039</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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7.8	Jackson County Mississippi Pollution Control Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.9	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.10	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.11	New York City NY Hsg Dev Corp Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 03/15/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.12	New York ST Hsg Fin Agy Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 05/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.13	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 11/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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7.14	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.15	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
7.16	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.17	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.18	Guilford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.19	Central Islip NY Union Free School District BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/16/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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7.20	Kent Ohio BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/23/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.21	East Hampton Town New York BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/24/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.22	County of Loudoun Virginia Public Impact Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.23	Framingham Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/07/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.24	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.25	Cleveland-Cuyahoga County Ohio - Cleveland Museum Art Project Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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7.26	Massachusetts Bay MA Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
7.27	Campbell CA Union High School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
7.28	University of Utah Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.29	Rochester New York G.O. Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.30	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.31	Texas State Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000

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7.32	Union Township Ohio Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/06/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.33	Essex County New Jersey BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.34	Arizona State Ctfs Partn Cops Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
7.35	Arizona State Cops - Department of Administration <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.36	Maryland ST - State and Local Facilities LN Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.37	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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7.38	Brookfield Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.39	Michigan State Trunk Line Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.40	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.41	Akron Ohio Income Tax Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.42	New York ST Dorm Auth Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.43	City of Worcester Massachusetts BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 01/31/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000

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7.44	Virginia ST Clg Bldg Auth - 21st Century Clg & Equip Program Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.45	North Middlesex Massachusetts Regional School District BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 02/05/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
7.46	Richland County South Carolina BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.47	Baltimore County Maryland BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.48	New Bedford Massachusetts BANS Bond <i>Rate/Coupon: 2.75%</i> <i>Matures: 05/03/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.49	County of Middlesex New Jersey <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000

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7.50	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.51	Kenmore-Tonawanda Union Free School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/13/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.52	Mesa Arizona G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.53	Washington State Variable Purpose Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.54	Harris County Texas Revenue Bond <i>Rate/Coupon: 5.75%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.55	Western Boone In Multi-Sch Bldg Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/10/2021</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.56	Long Island Power Authority NY Elec Sys G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
	Asset	Asset Type	Owner	Value	Income Type	Income

7.57	University of Houston Texas University Revenue Bond <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
7.58	Crowley Texas Independent School District Bldg Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
7.59	Montana ST Board of Investment <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
7.60	Chippewa Falls Area Un Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 1/10/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
7.61	WTT - Wireless Telecom Group, Inc.	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.62	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
7.63	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

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7.64	Pershing Advisor Solutions - BNY Mellon (New York, NY) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
7.65	AMAT-Applied Materials, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
7.66	AAPL-Apple Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.67	ABT-Abbott Laboratories	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.68	BAC-Bank of America Corporation	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.69	C-Citigroup Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.70	CAT - Caterpillar Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.71	CCI-Crown Castle International Corp. (REIT)	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.72	CDEV - Centennial Resource Development, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.73	COMM-CommScope Holding Company, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Capital Gains,	\$15,001 - \$50,000
7.74	CVX - Chevron Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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7.75	EGN-Energen Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.76	DVY-iShares Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$15,001 - \$50,000
7.77	EMR - Emerson Electric Co.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.78	EOG - EOG Resources, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.79	EPD-Enterprise Products Partners L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.80	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.81	GDV - VanEck Vectors Gold Miners ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.82	GILD-Gilead Sciences, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.83	GLD - SPDR Gold Shares	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
7.84	GOOGL-Alphabet Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Capital Gains,	\$100,001 - \$1,000,000
7.85	GSPKX-Goldman Sachs US Eq Div and Prem Instl	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$15,001 - \$50,000

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7.86	LLDYX-Lord Abbett Short Duration Income I	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 - \$50,000
7.87	HASI-Hannon Armstrong Sustainable Infrastructure Capita	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.88	JNJ-Johnson & Johnson	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.89	KBWB-Invesco KBW Bank ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Capital Gains, Excepted Investment Fund,	\$100,001 - \$1,000,000
7.90	KEM-KEMET Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.91	KEY-KeyCorp	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
7.92	LMT-Lockheed Martin Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.93	<u>MMP</u> - Magellan Midstream Partners, L.P.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
7.94	MSFT-Microsoft Corporation	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.95	PNC-The PNC Financial Services Group, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$50,001 - \$100,000
7.96	PPA-Invesco Aerospace & Defense ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000

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7.97	RTN-Raytheon Company	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
7.98	SLV - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
7.99	VICI-VICI Properties Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
7.100	Taiwan Semiconductor Mfg Co. - TSM	American Depository Receipt	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
7.101	UTG-Reaves Utility Income Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
7.102	WGP-Western Gas Equity Partners, LP	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
7.103	XOM - Exxon Mobil Corporation	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.104	ZBH - Zimmer Biomet Holdings, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.105	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
7.106	Mitsubishi UFJ Financial Group Inc. <i>Filer comment: No longer held</i>	American Depository Receipt	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
7.107	WMB - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)

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7.108	AG Energy Partners II, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.109	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.110	AJ I Gainline LLC <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.111	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
7.111.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000
7.112	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000

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7.113	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.114	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
7.115	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
7.116	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.117	New York St Hsg Fin Auth Revenue Bond <i>Rate/Coupon:</i> 2.792% <i>Matures:</i> 05/01/2042 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.118	Valdez Alaska Marine Terminal Refinancing Bond <i>Rate/Coupon:</i> 0.88% - Variable <i>Matures:</i> 12/01/2029	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.119	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon:</i> 5.375 <i>Matures:</i> 01/01/2032	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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7.120	California Pollution Control Authority Bond <i>Rate/Coupon: 0.84% - Variable</i> <i>Matures: 11/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.121	Irvine California Impt Bd Act Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.122	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.123	Manteca California Rede Bond <i>Rate/Coupon: 0.27% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.124	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.125	Milford Connecticut G.O. Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/06/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.126	Fulton County Georgia BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.127	Illinois State Health Facs Auth Revenue Bond <i>Rate/Coupon: 0.56% - Variable</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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7.128	Illinois State Financial Authority Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.129	Iowa Financial Auth Health Facs Bond <i>Rate/Coupon: 2.4%</i> <i>Matures: 06/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.130	Louisville & Jefferson County Kentucky Bond <i>Rate/Coupon: 0.11% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.131	Illinois State Financial Authority Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 10/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.132	Jackson County Mississippi Pollution Control Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.133	Maryland ST Health & Hg Bond <i>Rate/Coupon: 0.45%</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.134	Maryland ST Health & Hg Bond <i>Rate/Coupon: 0.64% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

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7.135	Framingham Massachusetts Muni Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.136	Massachusetts ST Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 2.0%-4.0%; maturity dates from 07/01/2017-04/23/2018; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.137	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 0.22% - Variable</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.138	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/15/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.139	Massachusetts ST Health Muni Bond <i>Rate/Coupon: 0.26% - Variable</i> <i>Matures: 10/01/2049</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.140	Massachusetts ST Water Res Auth Muni Bond <i>Rate/Coupon: 0.74% - Variable</i> <i>Matures: 08/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

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7.141	Mississippi Business Fin Corp Muni Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.75%-1.7% (variable); maturity dates from 12/01/2030-11/01/2035; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.142	Missouri ST Health & Educational Facs Auth Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 03/15/2039</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.143	Nodaway County Missouri Indl Muni Bond <i>Rate/Coupon: 0.2%</i> <i>Matures: 11/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.144	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.145	New Hampshire ST Business Muni Bond <i>Rate/Coupon: 0.06% - Variable</i> <i>Matures: 10/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.146	Nantucket Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.147	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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7.148	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates from 2.0%-5.0%; maturity dates from 11/16/2017-03/30/2018; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.149	New York NY Variable Muni Bond <i>Rate/Coupon:</i> 0.75% - Variable <i>Matures:</i> 08/01/2031 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.150	New York NY Variable Muni Bond <i>Rate/Coupon:</i> 0.51% - Variable <i>Matures:</i> 04/01/2042 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.151	New York NY Variable Muni Bond <i>Rate/Coupon:</i> 0.87% - Variable <i>Matures:</i> 08/01/2036 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.152	New York NY Variable Muni Bond <i>Rate/Coupon:</i> 0.5% - Variable <i>Matures:</i> 10/01/2038 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.153	New York City Hsg Fin Agy Revenue Bond <i>Rate/Coupon:</i> 2.25% <i>Matures:</i> 03/15/2036 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

Asset

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7.154	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates ranging from 0.87%-1.72% (variable); maturity dates from 08/01/2039-02/01/2045; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.155	New York City Muni Bond <i>Rate/Coupon:</i> 3.56% <i>Matures:</i> 05/01/2033 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.156	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> 0.3% - Variable <i>Matures:</i> 02/01/45 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.157	New York City NY Muni Water FinAuth Bond <i>Rate/Coupon:</i> 0.01% - Variable <i>Matures:</i> 06/15/2045 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.158	New York City NY Muni Water FinAuth Bond <i>Rate/Coupon:</i> 0.3% - Variable <i>Matures:</i> 06/15/2048 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.159	New York ST Dorm Auth Bond <i>Rate/Coupon:</i> 0.74% - Variable <i>Matures:</i> 07/01/2031	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.160	New York St Hsg Fin Auth Revenue Bond <i>Rate/Coupon:</i> 0.03% - Variable <i>Matures:</i> 11/01/2046 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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7.161	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 0.34% - Variable</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.162	Ohio ST G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.163	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
7.164	Delaware Valley Pennsylvania Regional Financial Revenue Bond <i>Rate/Coupon: 0.76% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.165	Derry Twp Pennsylvania Indl Muni Bond <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.166	Dutchess County New York Muni Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.167	East Baton Rouge Parish Muni Bond <i>Rate/Coupon: 0.002% - Variable</i> <i>Matures: 12/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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7.168	East Baton Rouge Parish Muni Bond <i>Rate/Coupon: 0.32% - Variable</i> <i>Matures: 12/01/2051</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.169	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 11/15/2045</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.170	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 0.47%</i> <i>Matures: 11/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.171	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.172	Salt Lake County Utah Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.173	Washington State Hsg Fin Auth Bond <i>Rate/Coupon: 3.85%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.174	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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7.175	Houston Texas Independent School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.176	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.177	Wisconsin State Environmental Impact Fund Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.178	Wisconsin State Health Muni Bond <i>Rate/Coupon: 0.88% - Variable</i> <i>Matures: 07/15/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
7.179	ASYS - Amtech Systems, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
7.180	CB - Chubb Limited <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
7.181	GS - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
7.182	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
7.183	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000

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7.184	CABO - Cable One, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
7.185	NVGS - Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
7.186	Briarwood Capital Partners LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.187	Canyon Balanced Fund, L.P. <i>Description: Private Investment Vehicle (Los Angeles, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.188	Engine Capital, LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000
7.189	Highline Capital Partners QP, LP <i>Description: Private Investment Vehicle (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000

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7.190	Mendon Capital QP LP <i>Description:</i> Private Investment Vehicle (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.191	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
7.192	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
7.193	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.194	Rimrock High Income Plus (QP) Fund, L.P. <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.195	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon:</i> 1.7% - Variable <i>Matures:</i> 10/01/2033 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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7.196	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.197	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.198	Portsmouth New Hampshire Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.199	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.200	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.201	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.202	Bristol CT Bans Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/29/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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7.203	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.204	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.205	Plymouth Massachusetts Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.206	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.207	Colorado State General Fund Revenue TANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
7.208	Middle Country NY Central School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
7.209	<u>RILY</u> - B. Riley Financial, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
7.210	<u>KMT</u> - Kennametal Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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7.211	BX - The Blackstone Group L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
7.212	GQETX - GMO Quality III	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
7.213	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$100,001 - \$1,000,000
7.214	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8	Richard L. Scott GRAT Continuing Trust	Trust General Trust	Spouse			
8.1	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon: 1.6% - Variable Matures: 01/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
8.2	Clarksville Tennessee Public Building Authority Municipal Bond <i>Rate/Coupon: 1.6% - Variable Matures: 02/01/2038</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500

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8.3	E Baton Rouge Parish Louisiana Dev Brd Inc. Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 08/01/2035</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.4	Maryland State Hlth & Higher Educational Facilities Revenue Bond - Univ MD Med Sys <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 07/01/2041</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.5	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.6	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 04/01/2032</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.7	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2035</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
8.8	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.5% - Variable</i> <i>Matures: 06/15/2045</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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Type

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8.9	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/15/2048</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.10	New York ST Dorm Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/01/2033</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
8.11	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 07/15/2028</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
8.12	BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
8.13	Guilford Connecticut BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/08/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.14	Katy Independent School District Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.15	Rochester Minnesota Health Care Facilities Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2030</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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8.16	District of Columbia Hospital Revenue Bond - Childrens Hosp <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/15/2045</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.17	Georgia State G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.18	Gilbert Arizona Public Facilities Muni Property Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.19	Massachusetts Bay MA Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.20	Massachusetts State G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.21	Rochester NY BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/02/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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8.22	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 08/10/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.23	Texas State G.O. Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/30/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.24	North Texas ST Muni Water Dist Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.25	Essex County New Jersey BANS Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 09/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.26	City of Quincy Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.27	Arizona State Ctfs Partn Cops Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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Income

8.28	Wichita Kansas Temp Nts Bond <i>Rate/Coupon: 1.1%</i> <i>Matures: 10/13/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.29	Pittsfield Massachusetts G.O. Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 10/26/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.30	Milford Connecticut BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 11/05/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.31	Louisville & Jefferson County Kentucky Met Swr Dist Swr & Drain Sys BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/12/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.32	Cohasset Massachusetts Mun Purp LN Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.33	Houston Texas Utility Sys Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000

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8.34	Spartanburg County School District No. 7T BANS Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.35	Minneapolis Minnesota G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
8.36	Akron Ohio Income Tax Revenue Bond <i>Rate/Coupon: 3</i> <i>Matures: 12/12/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.37	New York ST Dorm Auth General Purpose Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.38	Oregon Education Districts Short Term Cops Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/31/2018</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.39	Gwinnett County Georgia School District Sales Tax Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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8.40	Richland County South Carolina BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/27/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.41	Baltimore County Maryland BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 03/18/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.42	Virginia ST Public Sch Auth Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/15/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
8.43	Hamilton Twp New Jersey Mercer County BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/21/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
8.44	Town of Dover New Jersey BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 05/31/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.45	County of Middlesex New Jersey Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/11/2019</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000

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8.46	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/12/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.47	Marlborough Massachusetts BANS Bond <i>Rate/Coupon: 2.75%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.48	Town of Plymouth Massachusetts BANS Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/14/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
8.49	Town of Boxford Massachusetts BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/21/2019</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$5,001 - \$15,000
8.50	Massachusetts ST Dev Fin Agyre Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2019</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.51	Intermountain Pwr Agy Utah Power Sys Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.52	Long Island Power Authority NY Elec Sys G.O. Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 05/01/2033</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000

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8.53	University of Houston Texas University Revenue Bond <i>Rate/Coupon: 4.9% - Variable</i> <i>Matures: 02/15/2034</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,001 - \$1,000,000
8.54	Massachusetts ST Hlth & Education - Massachusetts Institute Technology Bond <i>Rate/Coupon: 5.5%</i> <i>Matures: 07/01/2036</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.55	Southern Columbia Pennsylvania Area School District Bond <i>Rate/Coupon: 5.15%</i> <i>Matures: 04/01/2040</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
8.56	Montana ST Board of Investment Bond <i>Rate/Coupon: 1.7% - Variable</i> <i>Matures: 03/01/2042</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
8.57	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
8.58	AG Energy Partners II, L.P. <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

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8.59	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.60	AJ I Gainline LLC <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.61	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
8.61.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000
8.62	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.63	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000

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8.64	Mendon Capital QP, LP <i>Description:</i> Private Investment Partnership (Chicago, IL)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.65	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
8.66	Richard L. and F. Annette Scott Family Partnership <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Assets disclosed elsewhere in this report	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
8.67	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
8.68	Briarwood Capital Partners LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.69	Canyon Balanced Fund, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

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8.70	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000
8.71	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.72	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.73	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
8.74	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
8.75	California Pollution Control Authority Bond <i>Rate/Coupon:</i> 0.84% <i>Matures:</i> 11/01/2026 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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8.76	Clackamas County Oregon School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.77	Colorado State Educational Loan Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.78	Derry Township Pennsylvania Indl & Commercial Dev Revenue Bond <i>Rate/Coupon: 0.63% - Variable</i> <i>Matures: 11/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.79	Dutchess County New York Indl Dev Agency Revenue Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 07/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.80	Forsyth Montana Pollution Control Revenue Bond <i>Rate/Coupon: 0.77% - Variable</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.81	Framingham Massachusetts BANS Bond <i>Rate/Coupon: 1.75%</i> <i>Matures: 12/08/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.82	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

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8.83	Indiana ST Fin Auth Environmental Bond <i>Rate/Coupon: 0.2% - Variable</i> <i>Matures: 10/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.84	Irvine California Impt Bd Act 1915 Loc Muni Bond <i>Rate/Coupon: 0.93%</i> <i>Matures: 09/02/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.85	Jackson County Mississippi Port Fac Muni Bond <i>Rate/Coupon: 0.96% - Variable</i> <i>Matures: 06/01/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.86	King County Washington Hsg Auth Var-Ref-Pooled Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 07/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.87	Maryland State Hlth & Higher Educational Facilities Revenue Bond <i>Rate/Coupon: 0.45% - Variable</i> <i>Matures: 07/01/2041</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.88	Massachusetts State Revenue Antic Nts Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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8.89	Massachusetts State Health Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates ranging from 0.22% (variable) to 5.5% (fixed); maturity dates ranging from 07/01/2026-08/15/2040; no longer held.	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.90	Massachusetts State Water Resource Auth Bond <i>Rate/Coupon:</i> 0.9% - Variable <i>Matures:</i> 08/01/2020 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.91	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates from 0.24% (variable) to 2.0% (fixed); maturity dates ranging from 08/01/2017-011/15/2045; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.92	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon:</i> 0.94% - Variable <i>Matures:</i> 10/01/2039 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.93	Milford Connecticut BANS Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 11/06/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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8.94	Minnesota ST Agriculture Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 09/01/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.95	Mississippi State Business Finance Corp Gulf Opportunity Zone Bond <i>Rate/Coupon: 0.24% - Variable</i> <i>Matures: 12/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.96	Missouri State Health & Educational Facilities Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.89% (variable)-5.375% (fixed); maturity dates ranging from 10/01/2024-03/15/2039; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.97	Montgomery County Tennessee Public Bldg Auth Revenue Bond <i>Rate/Coupon: 3.9%</i> <i>Matures: 07/01/2034</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.98	Nantucket Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.99	Needham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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8.100	New York NY Muni Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines bonds with rates from 0.51% (variable) to 0.87% (fixed); maturity dates ranging from 08/01/2036-04/01/2042; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.101	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.3%-0.72% (variable); maturity dates of 08/01/2039-08/01/2043; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.102	New York City NY Muni Water Fin Auth Wtr & Sewer Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.01-0.3% (variable); maturity dates of 06/15/2045-06/15/2050; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.103	New York State Dorm Auth Revenue Bond <i>Rate/Coupon:</i> 0.74% - Variable <i>Matures:</i> 07/01/2031	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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8.104	New York ST Hsg Fin Agy Revenue Bond <i>Rate/Coupon:</i> See note <i>Matures:</i> See note <i>Filer comment:</i> Combines multiple bonds with rates between 0.03%-2.792% (variable); maturity dates of 05/01/2042-11/01/2046; no longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.105	Nodaway County Missouri Indl Dev Auth Revenue Bond <i>Rate/Coupon:</i> 0.2% - Variable <i>Matures:</i> 11/01/2028 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.106	San Antonio Texas Independent Sch Dist Bond <i>Rate/Coupon:</i> 2.0% <i>Matures:</i> 08/01/2044 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.107	Triborough NY Bridge & Tunnel Auth Revenue Bond <i>Rate/Coupon:</i> 0.34% - Variable <i>Matures:</i> 01/01/2033 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.108	Valdez Alaska Marine Terminal Bond <i>Rate/Coupon:</i> 0.88% - Variable <i>Matures:</i> 12/01/2029 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.109	Wisconsin ST Health & Educational Facilities Revenue Bond <i>Rate/Coupon:</i> 0.48% - Variable <i>Matures:</i> 07/15/2028 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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8.110	Charleston County South Carolina School District Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 11/16/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.111	Fulton County Georgia General Fund - Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.112	Los Angeles California G.O. Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/29/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.113	Salt Lake County Utah Tax & Rev Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/28/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.114	Westborough Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/11/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.115	Rimrock High Income Plus (QP) Fund, L.P. <i>Description: Private Investment Partnership (Irvine, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
8.116	Tuscaloosa County Alabama Muni Bond <i>Rate/Coupon: 0.75% - Variable</i> <i>Matures: 04/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

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8.117	Alaska ST International Airports Revenue Bond <i>Rate/Coupon: 0.73% - Variable</i> <i>Matures: 10/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.118	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.119	Ohio ST G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.120	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.121	Gallatin Tennessee Water & Sewer Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.122	Houston Texas Independent School District Ltd Tax-Schoolhouse Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.123	Wisconsin State Environmental Impact Fund Revenue Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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8.124	Albany County NY BANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.125	Delaware Valley PA Regional Financial Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 06/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.126	Richardson Texas Independent School District Building Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.127	Lexington Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/16/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.128	Granite Utah School District Salt Lake County Muni Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.129	Portsmouth New Hampshire Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/22/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.130	Arizona ST Health Facilities Auth Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 01/01/2032</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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8.131	Minnesota Public Facilities Auth Clean Water Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.132	Massachusetts State G.O. Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds ranging from 2.0%-4.0%; maturity dates from 4/23/18-6/01/2018; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.133	Hingham Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/17/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.134	Beacon NY BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/25/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.135	Somerville Massachusetts BANS Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/08/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
8.136	Williamsville New York Central School District BANS Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 06/13/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.137	Plymouth Massachusetts Muni Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/15/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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8.138	Colorado State General Fund Revenue TANS Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.139	Middle Country NY Central School District Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.140	Manhasset NY Union Free Tax Antic Nts Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/29/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.141	Colorado State Educational Loan Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/27/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
8.142	E Baton Rouge Parish Louisiana Muni Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 12/01/2040</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
8.143	Guttenberg New Jersey Muni Bond <i>Rate/Coupon: 2.5%</i> <i>Matures: 06/18/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
8.144	Illinois State Financial Auth Revenue Bond <i>Rate/Coupon: See note</i> <i>Matures: See note</i> <i>Filer comment: Combines multiple bonds with rates from 0.89%-1.12% (variable); maturity dates of 08/01/2043-08/01/2044; no longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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8.145	Iowa Higher Ed LN Auth Revenue Bond <i>Rate/Coupon: 1.6% - Variable</i> <i>Matures: 10/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.146	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 1.16% - Variable</i> <i>Matures: 11/01/2035</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.147	Oregon St Health & Science Muni Bond <i>Rate/Coupon: 1.1% - Variable</i> <i>Matures: 07/01/2042</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
8.148	Willoughby Ohio Muni Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 05/24/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
8.149	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
9	F. Annette Scott Revocable Trust	Trust General Trust	Spouse			
9.1	Merrill Lynch (Bank of America) (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	\$15,001 - \$50,000

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9.2	BIF Money Fund	Mutual Funds Stable Value Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$15,001 - \$50,000
9.3	Oregon State Tax Antic Nts G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/28/2018</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
9.4	Bay Area Toll at California Bridge Revenue Bond <i>Rate/Coupon: 2.62% - Variable</i> <i>Matures: 04/01/2036</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
9.5	Bay Area Toll Auth California Revenue Bond <i>Rate/Coupon: 2.36% - Variable</i> <i>Matures: 11/01/2022</i>	Government Securities Municipal Security	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 - \$50,000
9.6	Los Angeles California Transportation Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/28/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
9.7	Morgan Stanley (Los Angeles, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)

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9.8	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
9.9	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.10	FHLMC 30 Year Gold <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
9.11	Federal National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
9.12	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.13	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.14	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)

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9.15	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.16	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.17	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.18	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.19	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.20	Government National Mortgage Assn Pool <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
9.21	Illinois Fin A Revenue Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 04/01/2044</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 - \$50,000
9.22	Morgan Stanley Active Assets Govt Trust	Mutual Funds Stable Value Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

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9.23	Lower Colorado River Auth Texas Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$2,501 - \$5,000
9.24	California Muni Fin Auth Solid Waste District Revenue Bond <i>Rate/Coupon: 2.0% - Floating</i> <i>Matures: 12/01/2044</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
9.25	Canadian River Texas Municipal Water Auth Contract Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.26	Texas A&M University Fd Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2025</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
9.27	Black Belt Energy Gas Dist Algas Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2047</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.28	Massachusetts Water Res Auth General Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2028</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest,	\$50,001 - \$100,000
9.29	Municipal Electric Auth Georgia Revenue Bond <i>Rate/Coupon: 6.0%</i> <i>Matures: 01/01/2023</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.30	Seattle Washington Solid Waste Revenue Bond <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$1,001 - \$2,500

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9.31	Hudson Yds Infrastructure Corp NY Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2029</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
9.32	California State Public Works Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2030</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
9.33	ARLP - Alliance Resource Partners, L.P.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9.34	AM - Antero Midstream Partners LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.35	FUN - Cedar Fair, L.P.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.36	DM - Dominion Energy Midstream Partners, LP	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
9.37	ETE - Energy Transfer Equity, L.P.	Corporate Securities Stock	Spouse	\$500,001 - \$1,000,000	Dividends,	\$50,001 - \$100,000
9.38	EPD - Enterprise Products Partners L.P.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.39	EQGP - EQT GP Holdings, LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.40	EQM - EQT Midstream Partners, LP	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9.41	NEP - NextEra Energy Partners, LP	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000

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9.42	<u>NYLD</u> - NRG Yield, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
9.43	<u>OKE</u> - ONEOK, Inc.	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.44	<u>SRE</u> - Sempra Energy	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.45	<u>TRGP</u> - Targa Resources Corp.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9.46	<u>WGP</u> - Western Gas Equity Partners, LP	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000
9.47	<u>WMB</u> - The Williams Companies, Inc.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
9.48	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.49	Pershing Advisors - F. Annette Scott IRA	Retirement Plans IRA	Spouse			
9.49.1	Pershing Treasury Account Fund - PTA	Mutual Funds Stable Value Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
9.49.2	OEF-iShares S&P 100 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.50	Wells Fargo Bank, N.A. (Tallahassee, FL) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)

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9.51	Mutual of Omaha Bank (Naples, FL) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
9.52	Loan to Cathy Gellatly <i>Debtor:</i> Cathy Gellatly	Accounts Receivable From an Individual	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
9.53	Account Receivable from Pharmaca Investments, LLC <i>Company:</i> Pharmaca Investments, LLC (Rowayton, Connecticut)	Accounts Receivable From a Business	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.54	Account Receivable from S&S Equity Partners I LLC <i>Company:</i> S&S Equity Partners I LLC (Rowayton, Connecticut)	Accounts Receivable From a Business	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
9.55	AG Energy Partners II, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.56	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.57	AJ I Gainline LLC <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)

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9.58	AJ 2 Elliott LLC <i>Description:</i> Private Investment Vehicle (New York, NY)	Investment Fund Private Equity Fund	Spouse			
9.58.1	Ancora Catalyst SPV I L.P. <i>Description:</i> Private Investment Partnership (Cleveland, OH)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$100,001 - \$1,000,000
9.59	CAI Partners and Company IV L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
9.60	Canyon Laurel Fund L.P. <i>Description:</i> Private Investment Partnership (Los Angeles, CA)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
9.61	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.62	OVO Fund II, LLC <i>Description:</i> Private Investment Partnership (Los Altos, CA)	Investment Fund Private Equity Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
9.63	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)

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9.64	Richard L. and F. Annette Scott Family Partnership <i>Description:</i> Private Investment Partnership (Naples, FL) <i>Filer comment:</i> Underlying assets disclosed elsewhere in this report	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	Dividends, Capital Gains,	\$100,001 - \$1,000,000
9.65	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.66	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Interest,	\$100,001 - \$1,000,000
9.67	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.68	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
9.69	VSS Structured Capital III, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000

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9.70	Columbia Collier Management, LLC <i>Company:</i> Columbia Collier Management, LLC (Naples, Florida) <i>Description:</i> Company set up for purpose of ownership of airplane	Corporate Securities Non-Public Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
9.71	Mesa Arizona Excise Tax Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 07/01/2027 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.72	Washington State Health Care Facilities Auth Revenue Bond <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 10/01/2017 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.73	North Carolina ST Grant Antic Revenue Bond <i>Rate/Coupon:</i> 2.0% - Variable <i>Matures:</i> 03/01/2023 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.74	Fremont California Unified School District Alameda County <i>Rate/Coupon:</i> 3.25% <i>Matures:</i> 08/01/2039 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.75	Seattle Washington Municipal Light & Power Revenue Bond <i>Rate/Coupon:</i> 5.25% <i>Matures:</i> 04/01/2020 <i>Filer comment:</i> No longer held	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000

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9.76	San Diego California Unified School District Tax & Revenue Bond <i>Rate/Coupon: 2.0%</i> <i>Matures: 06/30/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.77	Pennsylvania State Turnpike Commission Muni Bond <i>Rate/Coupon: Variable</i> <i>Matures: 12/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.78	California State Public Works Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/01/2027</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
9.79	District of Columbia G.O. Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2024</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.80	Bay Area Toll Auth California Toll Bridge Revenue Bond <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2045</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.81	Bay Area Toll Auth California Toll Bridge Revenue Bond <i>Rate/Coupon: Variable</i> <i>Matures: 04/01/2047</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.82	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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9.83	Denver Colorado City & County Airport Revenue Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.84	Sales Tax Asset Receivable New York Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/15/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$15,001 - \$50,000
9.85	Arizona State Transportation Board Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$15,001 - \$50,000
9.86	Mesa Arizona Street & Highway Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.87	Alvin Texas Independent BE Muni Bond <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/15/2036</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.88	California State Variable Purpose Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.89	California St G.O. Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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9.90	Chicago Airport BE Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.91	Chicago General BE Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2022</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.92	Grand Prairie Texas Independent School District Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.93	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.94	Illinois ST Sales Tax & Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$50,001 - \$100,000
9.95	Lower Alabama Gas Project Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.96	Massachusetts State BE Bond <i>Rate/Coupon: 1.38% - Variable</i> <i>Matures: 11/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.97	Massachusetts State BE Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000

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9.98	Metropolitan Washington DC Airports Auth Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.99	Metropolitan Transportation Authority of New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2017</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.100	Michigan State Hospital Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2031</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.101	Milwaukee Wisconsin Metro Sewer District Bond <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.102	New Mexico Mun Egy Acquisition Auth Gas Supply Revenue Bond <i>Rate/Coupon: 1.27% - Variable</i> <i>Matures: 11/01/2039</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.103	New York State Muni Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2027</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
9.104	New York City NY Transition Fin Auth Bldg Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2023</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000

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9.105	Port of Seattle Washington Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2026</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.106	San Antonio Texas Electric & Gas Revenue Bond <i>Rate/Coupon: 4.75%</i> <i>Matures: 02/01/2028</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.107	Connecticut State Spec Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2030</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.108	Triborough Bridge & Tunnel Auth New York Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2025</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.109	ENB-Enbridge Inc. <i>Filer comment: No longer held.</i> A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
9.110	Massachusetts State G.O. Bond <i>Rate/Coupon: 1.413% - Variable</i> <i>Matures: 05/21/2018</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
9.111	Illinois Fin Auth Revenue Bond <i>Rate/Coupon: 6.5%</i> <i>Matures: 11/01/2038</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000

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9.112	Illinois State Sales Tax Revenue Bond <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2021</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
9.113	AHGP - Alliance Holdings GP, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
9.114	MPLX - MPLX LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.115	NSH - NuStar GP Holdings, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$15,001 - \$50,000
9.116	Briarwood Capital Partners LP <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.117	Canyon Balanced Fund, L.P. <i>Description: Private Investment Partnership (Los Angeles, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	Over \$1,000,000 and held independently by spouse or dependent child
9.118	Elliott Associates, L.P. <i>Description: Private Investment Partnership (New York, New York)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

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9.119	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
9.120	Highline Capital Partners QP, LP <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$15,001 - \$50,000
9.121	Mendon Capital QP LP <i>Description:</i> Private Investment Partnership (Chicago, Illinois)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.122	Moab Partners, L.P. <i>Description:</i> Private Investment Partnership (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
9.123	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Partnership (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

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9.124	Overlook 3G Investments, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
9.125	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.126	Fortress Secured Lending Fund I (A) LP <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
9.127	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000
9.128	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 - \$100,000
9.129	Dreyfus - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
9.130	G. Scott Holding, LLC <i>Company:</i> G. Scott Holding, LLC (Rowayton, CT) <i>Description:</i> Investment Management	Corporate Securities Non-Public Stock	Spouse	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000

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9.131	Fulton Chain Acquisition LLC <i>Description:</i> Private Investment Partnership (Rowayton, CT)	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10	Richard L. And F. Annette Scott Family Partnership, Ltd. <i>Company:</i> Richard L. And F. Annette Scott Family Partnership, Ltd. (Naples, Florida) <i>Description:</i> Investment Limited Partnership	Business Entity Limited Partnership (LP)	Spouse			
10.1	Morgan Stanley (Los Angeles, CA) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
10.2	Government National Mortgage Assn Pool <i>Rate/Coupon:</i> None <i>Matures:</i> None	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
10.3	Government National Mortgage Assn Pool <i>Rate/Coupon:</i> None <i>Matures:</i> None	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
10.4	Government National Mortgage Assn Pool <i>Rate/Coupon:</i> None <i>Matures:</i> None	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
10.5	Government National Mortgage Assn Pool <i>Rate/Coupon:</i> None <i>Matures:</i> None	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
10.6	Neuberger Berman (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)

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10.7	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.8	WTT-Wireless Telecom Group, Inc.	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
10.9	Account Receivable from Nokomis Capital Partners, L.P. <i>Company:</i> Nokomis Capital Partners, L.P. (Dallas, Texas)	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or less than \$201)
10.10	AG Super Fund, L.P. <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$50,001 - \$100,000
10.11	G. Scott Capital Partners <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.12	Pharmaca Investments, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)

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10.13	RLSI-CSP Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, CT)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
10.14	RLSI-EMIDA Capital Partners, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
10.15	S&S Equity Partners I LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.16	S&S Sports, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, Connecticut)	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Interest,	Over \$1,000,000 and held independently by spouse or dependent child
10.17	ENB - Enbridge Inc. <i>Filer comment:</i> No longer held. A portion of the capital gains and all dividends were prior to an exchange for common stock of Spectra Energy Corp.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.18	OKE - ONEOK, Inc. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$50,001 - \$100,000
10.19	AHGP - Alliance Holdings GP, L.P. <i>Filer comment:</i> No longer held	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000

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10.20	AM - Antero Midstream Partners LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.21	DM - Dominion Energy Midstream Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.22	ETE - Energy Transfer Equity, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.23	EPD-Enterprise Products Partners L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.24	EQGP - EQT GP Holdings, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.25	EQM - EQT Midstream Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
10.26	MPLX - MPLX LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.27	NEP - NextEra Energy Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.28	SRE - Sempra Energy <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.29	TRGP - Targa Resources Corp. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.30	WGP - Western Gas Equity Partners, LP <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.31	WMB - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000

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10.32	ASYS - Amtech Systems, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.33	NSH - NuStar GP Holdings, LLC <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.34	ABT - Abbott Laboratories <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.35	GOOGL - Alphabet Inc.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.36	AAPL - Apple Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.37	BAC - Bank of America Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.38	FUN - Cedar Fair, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.39	CCI - Crown Castle International Corp. (REIT) <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.40	COMM - CommScope Holding Company, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.41	DVY-iShares Select Dividend ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
10.42	GILD - Gilead Sciences, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000

Asset

Asset Type

Owner

Value

Income Type

Income

10.43	GSPKX - Goldman Sachs US Eq Div and Prem Instl <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
10.44	HASI - Hannon Armstrong Sustainable Infrastructure Capita <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
10.45	JNJ - Johnson & Johnson <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.46	MSFT - Microsoft Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.47	PNC - The PNC Financial Services Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.48	KBWB - Invesco KBW Bank ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$50,001 - \$100,000
10.49	LLDYG - Lord Abbett Short Duration Income I <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
10.50	PPA - Invesco Aerospace & Defense ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
10.51	C - Citigroup Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

Asset

Asset Type

Owner

Value

Income Type

Income

10.52	UTG - Reaves Utility Income Fund <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
10.53	CAT - Caterpillar Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.54	EMR - Emerson Electric Co. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
10.55	EOG - EOG Resources, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
10.56	EWJ-iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
10.57	KEM - KEMET Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.58	KEY - KeyCorp <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.59	LMT - Lockheed Martin Corporation <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.60	MMP - Magellan Midstream Partners, L.P. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.61	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.62	RILY - B. Riley Financial, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

Asset

Asset Type

Owner

Value

Income Type

Income

10.63	RTN - Raytheon Company <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.64	VICI - VICI Properties Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10.65	WMB - The Williams Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10.66	CB - Chubb Limited <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.67	CABO - Cable One, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.68	GS - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.69	MMC - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
10.70	NVGS-Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.71	PFE - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.72	Canyon Balanced Fund, L.P. <i>Description: Private Investment Vehicle (Los Angeles, California)</i>	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000

Asset

Asset Type

Owner

Value

Income Type

Income

10.73	Engine Capital, LP <i>Description:</i> Private Investment Vehicle (New York, New York)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.74	Nokomis Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Dallas, Texas)	Investment Fund Hedge Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$50,001 - \$100,000
10.75	Overlook 3G Investments, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
10.76	Overlook Partners Fund, L.P. <i>Description:</i> Private Investment Vehicle (Hong Kong, N/A)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
10.77	Rimrock High Income Plus (QP) Fund, L.P. <i>Description:</i> Private Investment Vehicle (Irvine, California)	Investment Fund Hedge Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund,	\$100,001 - \$1,000,000
10.78	Account Receivable from S&S Equity Partners I, LLC <i>Company:</i> S&S Equity Partners I, LLC (Naples, FL)	Accounts Receivable From a Business	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
10.79	GQETX - GMO Quality III <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$100,001 - \$1,000,000

Asset

Asset Type

Owner

Value

Income Type

Income

10.80	JMB Capital Partners, L.P. <i>Description:</i> Private Investment Vehicle (Los Angeles, CA) <i>Filer comment:</i> No longer held	Investment Fund Hedge Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
10.81	S&S Debt Partners I, LLC <i>Description:</i> Private Investment Vehicle (Rowayton, CT)	Investment Fund Private Equity Fund	Spouse	None (or less than \$1,001)	Interest,	\$100,001 - \$1,000,000
10.82	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$5,001 - \$15,000
10.83	Dreyfus - BNY Mellon (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
11	Wells Fargo Bank, N.A. (Tallahassee, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
12	Mutual of Omaha Bank (Naples, FL) <i>Type:</i> Checking,	Bank Deposit	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
13	Pershing Advisor Solutions LLC IRA	Retirement Plans IRA	Self			
13.1	Pershing Advisor Solutions - BNY Mellon (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
13.2	AAPL-Apple Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.3	ABT-Abbott Laboratories	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

Asset

Asset Type

Owner

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Income

13.4	AMAT-Applied Materials, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.5	BAC-Bank of America Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.6	C-Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.7	CAT-Caterpillar Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.8	CCI-Crown Castle International Corp. (REIT)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.9	CDEV-Centennial Resource Development, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.10	COMM-CommScope Holding Company, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.11	CVX-Chevron Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.12	EGN-Energen Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.13	DVY-iShares Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.14	EMR-Emerson Electric Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.15	EOG-EOG Resources, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

Asset

Asset Type

Owner

Value

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Income

13.16	FUN-Cedar Fair, L.P.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.17	GDX-VanEck Vectors Gold Miners ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.18	GILD-Gilead Sciences, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.19	HASI-Hannon Armstrong Sustainable Infrastructure Capita	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.20	GLD - SPDR Gold Shares	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.21	GOOGL-Alphabet Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.22	GSPKX-Goldman Sachs US Eq Div and Prem Instl	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.23	JNJ-Johnson & Johnson	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.24	KEM-KEMET Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.25	KEY-KeyCorp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.26	KMT-Kennametal Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.27	LLDYX-Lord Abbett Short Duration Income I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Asset

Asset Type

Owner

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Income

13.28	LMT-Lockheed Martin Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.29	MMP-Magellan Midstream Partners, L.P.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.30	MSFT-Microsoft Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.31	PNC-The PNC Financial Services Group, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.32	PPA-Invesco Aerospace & Defense ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.33	Pershing Treasury Account - PTA	Mutual Funds Stable Value Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
13.34	RTN-Raytheon Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.35	SLV - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.36	Taiwan Semiconductor Mfg Co. - TSM	American Depository Receipt	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.37	UTG-Reaves Utility Income Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.38	VICI-VICI Properties Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Asset

Asset Type

Owner

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Income

13.39	WGP-Western Gas Equity Partners, LP	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.40	XOM-Exxon Mobil Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.41	ZBH-Zimmer Biomet Holdings, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.42	<u>RILY</u> - B. Riley Financial, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.43	Mitsubishi UFJ Financial Group Inc. - MTU <i>Filer comment: No longer held</i>	American Depository Receipt	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.44	<u>SLV</u> - iShares Silver Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.45	<u>GS</u> - The Goldman Sachs Group, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.46	<u>MMC</u> - Marsh & McLennan Companies, Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.47	<u>PFE</u> - Pfizer Inc. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.48	<u>EWJ</u> - iShares MSCI Japan ETF <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.49	<u>NVGS</u> - Navigator Holdings Ltd. <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)

Asset

Asset Type

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Income

13.50	KBWB - Invesco KBW Bank ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.